

Geometry, Topology, and Dynamics of Many-Body Systems: Quantum and Classical Perspectives

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PhD Defense

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SUMMARY

I. Entanglement and Quantum Correlations

- 1) Pure Quantum States: Entanglement and Correlation Patterns
- 2) Mixed Quantum States: Entanglement and Quantum Correlations
- 3) Quantum Phase Transition in the Tavis-Cummings Model

II. Metastability and Phase Transitions in Classical Systems

- 1) The *Bicluster*: a Metastable State in the HMF Model
- 2) The Glass Transition: a Topological Point of View

Part I:
**Entanglement and Quantum
Correlations**

1) Pure Quantum States: Entanglement and Correlation Patterns

I. Entanglement and Quantum Correlations

1) Pure Quantum States: Entanglement and Correlation Patterns

Quantum entanglement, a direct consequence of the specificities of measurement processes in **Quantum Mechanics**: *measuring a quantum object modifies its state*.

First noticed by EPR in 1935, named by Schrödinger on the same year.

Inconsistency of **quantum theory** (hidden variables)?

Bell's inequalities: proof that entanglement cannot come from **hidden variables**.

Aspect's experiments showed violation of **Bell's inequalities**.

Of major importance in today's quest for achieving the **quantum computer**.

A. Einstein, B. Podolsky, and N. Rosen, "Can Quantum-Mechanical Description of Physical Reality Be Considered Complete?", Phys. Rev., 1935

E. Schrödinger, "Discussion of Probability Relations between Separated Systems", Math. Proc. of the Cambridge Philo. Soc., 1935

J. S. Bell, "On the Einstein Podolsky Rosen paradox", Physics Physique Fizika, 1964

A. Aspect, P. Grangier, and G. Roger, "Experimental Tests of Realistic Local Theories via Bell's Theorem", Physical Review Letters, 1981

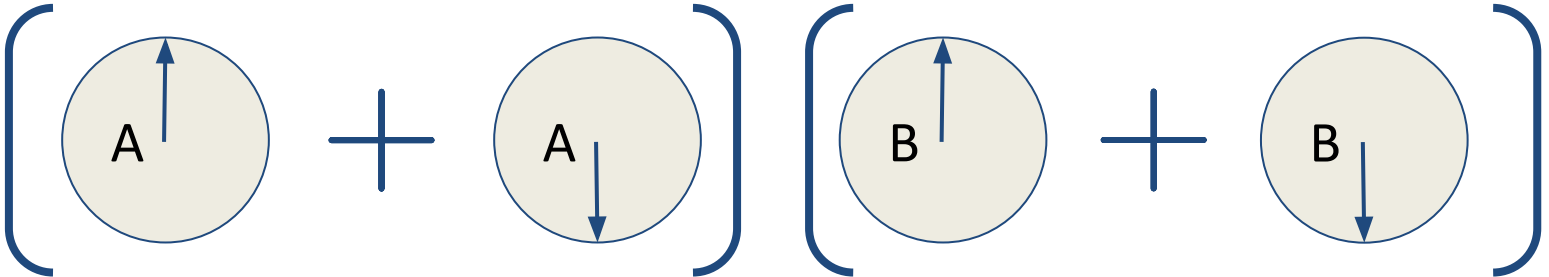
R.P. Feynman, *Simulating physics with computers*. Int J Theor Phys, 1982

I. Entanglement and Quantum Correlations

1) Pure Quantum States: Entanglement and Correlation Patterns

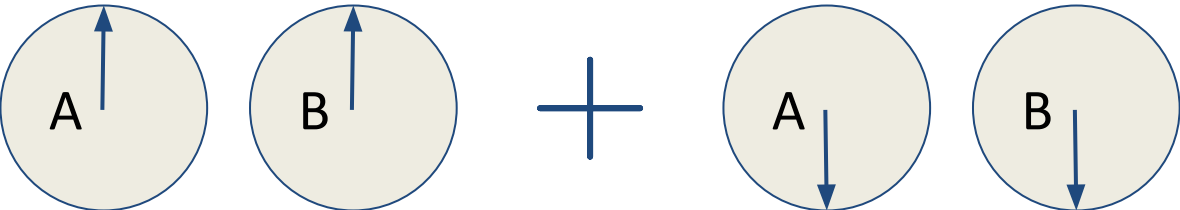
Product state:

$$|\varphi\rangle = (|\uparrow\rangle + |\downarrow\rangle) \otimes (|\uparrow\rangle + |\downarrow\rangle) / 2$$



Entangled state (here, Bell state):

$$|\psi_+\rangle = (|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle) / \sqrt{2}$$

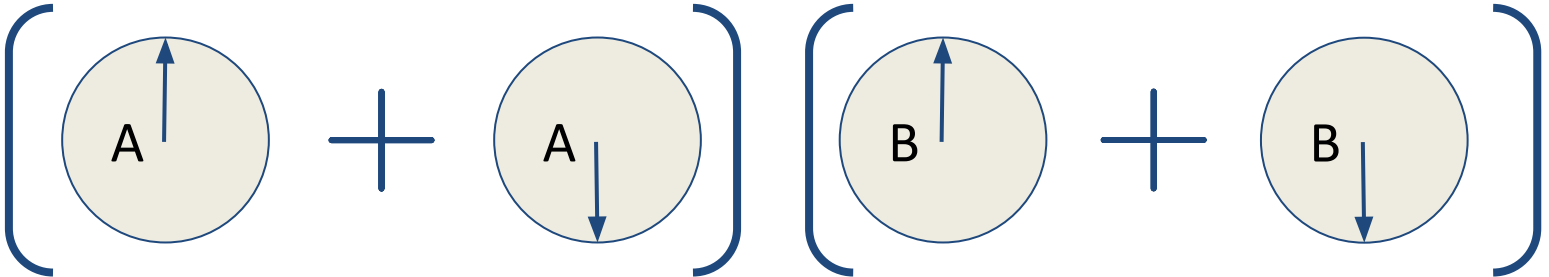


I. Entanglement and Quantum Correlations

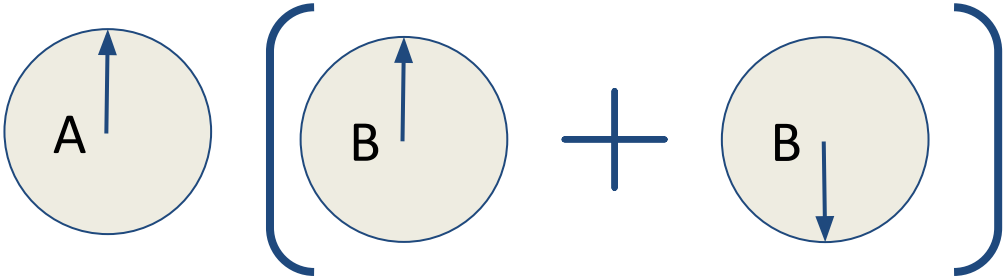
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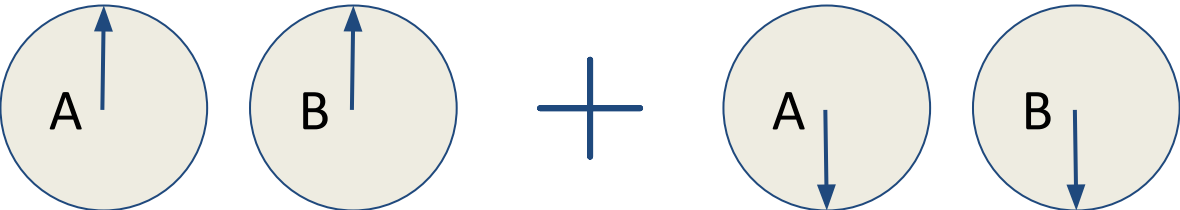
Measuring A pointing upward:



does not affect the state of B.

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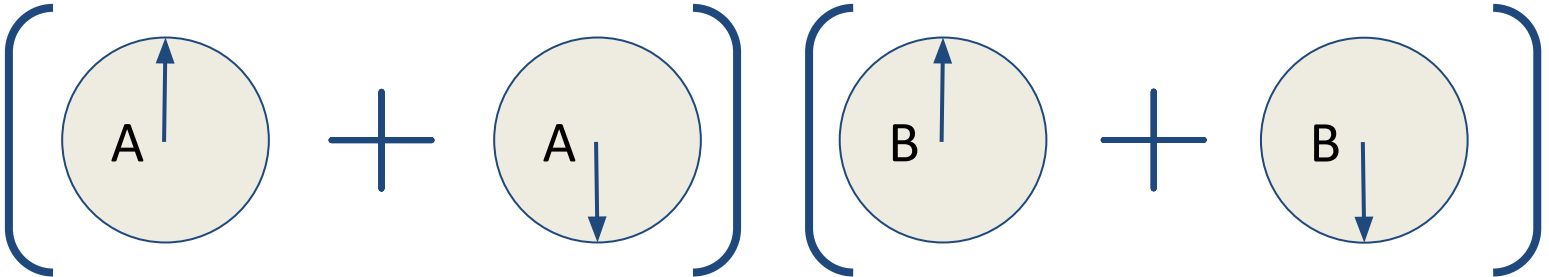


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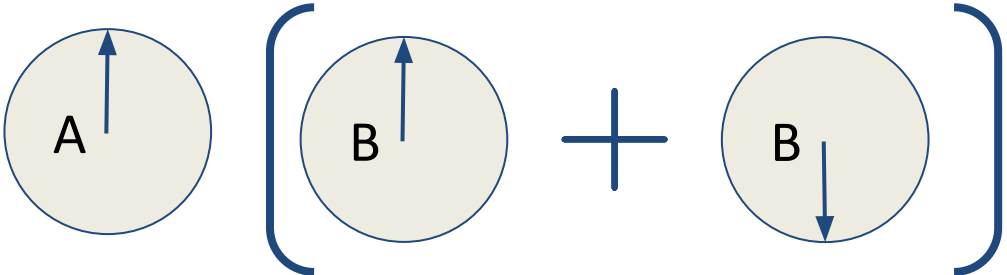
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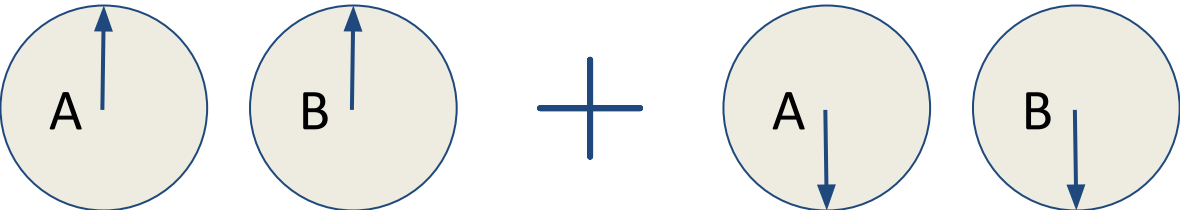
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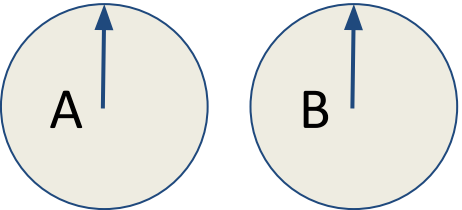
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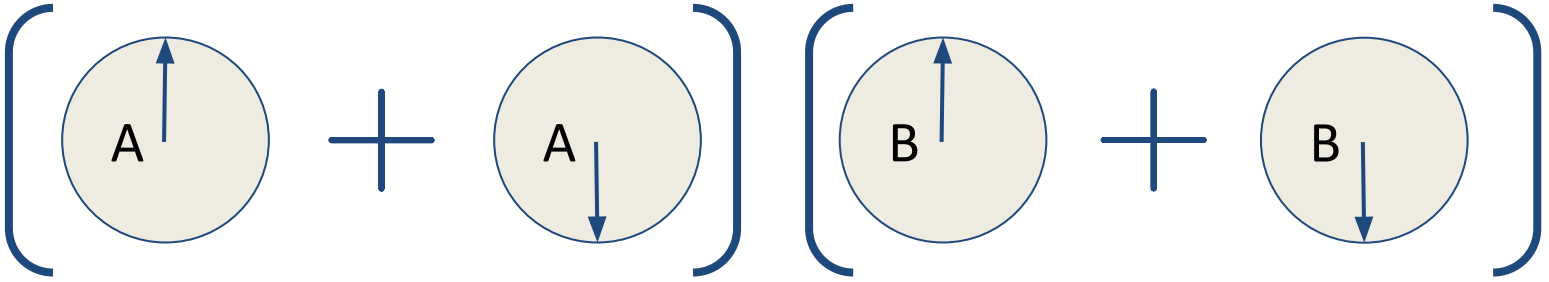
projects B onto the upward-pointing state.

I. Entanglement and Quantum Correlations

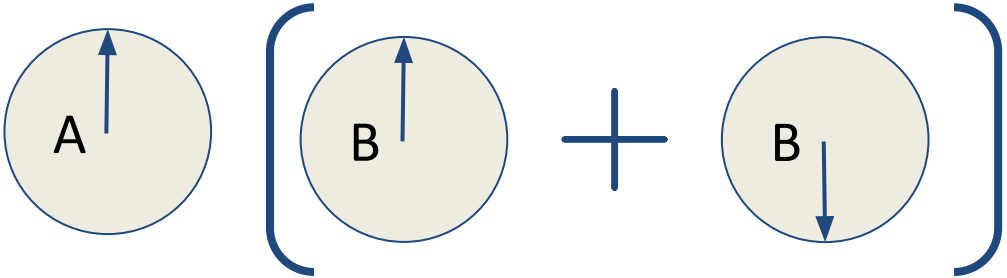
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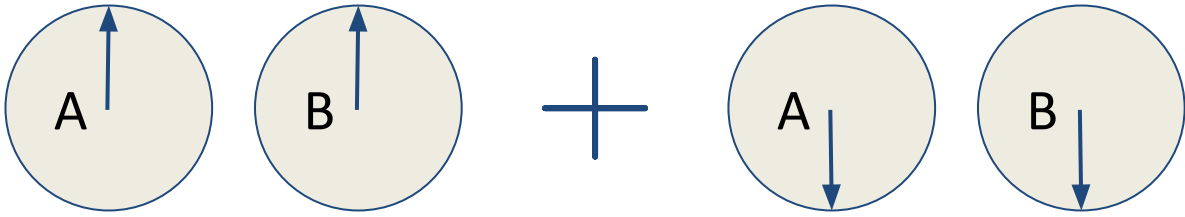
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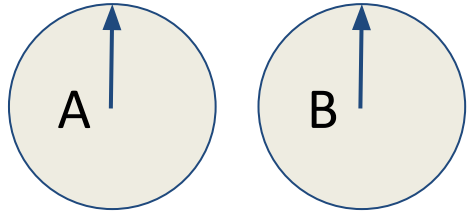
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Entangled state (here, Bell state):

$$|\psi_+\rangle = (|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle) / \sqrt{2}$$



Measuring A pointing upward:



projects B onto the upward-pointing state.

Entangled pair : measurement on A may modify the state of B.

I. Entanglement and Quantum Correlations

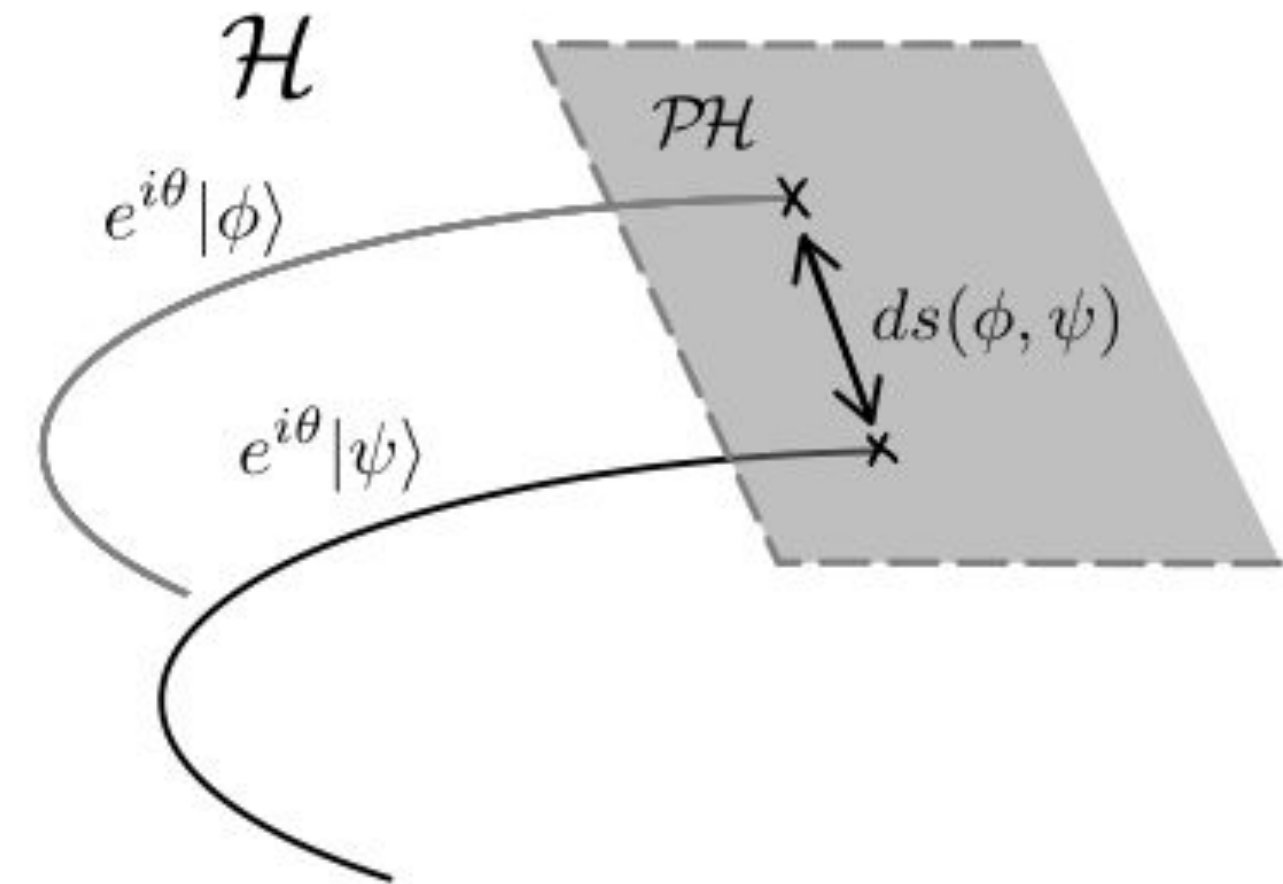
1) Pure Quantum States: Entanglement and Correlation Patterns

- State vectors: $|\psi\rangle \in \mathcal{H}$

- **Physically equivalent** if they differ by a global phase:

$$|\phi\rangle = e^{i\theta} |\psi\rangle \Leftrightarrow |\phi\rangle \sim |\psi\rangle$$

- The *physically meaningful* space of quantum states is the **projective Hilbert space** collapsing each of these **rays** into a **point**.



I. Entanglement and Quantum Correlations

1) Pure Quantum States: Entanglement and Correlation Patterns

Infinitesimal squared distance in this projective space

$$\begin{aligned} ds^2 &= \langle d\psi | d\psi \rangle - \langle d\psi | \psi \rangle \langle \psi | d\psi \rangle \\ &= \sum_{\mu\nu} g_{\mu\nu} d\xi^\mu d\xi^\nu \end{aligned}$$

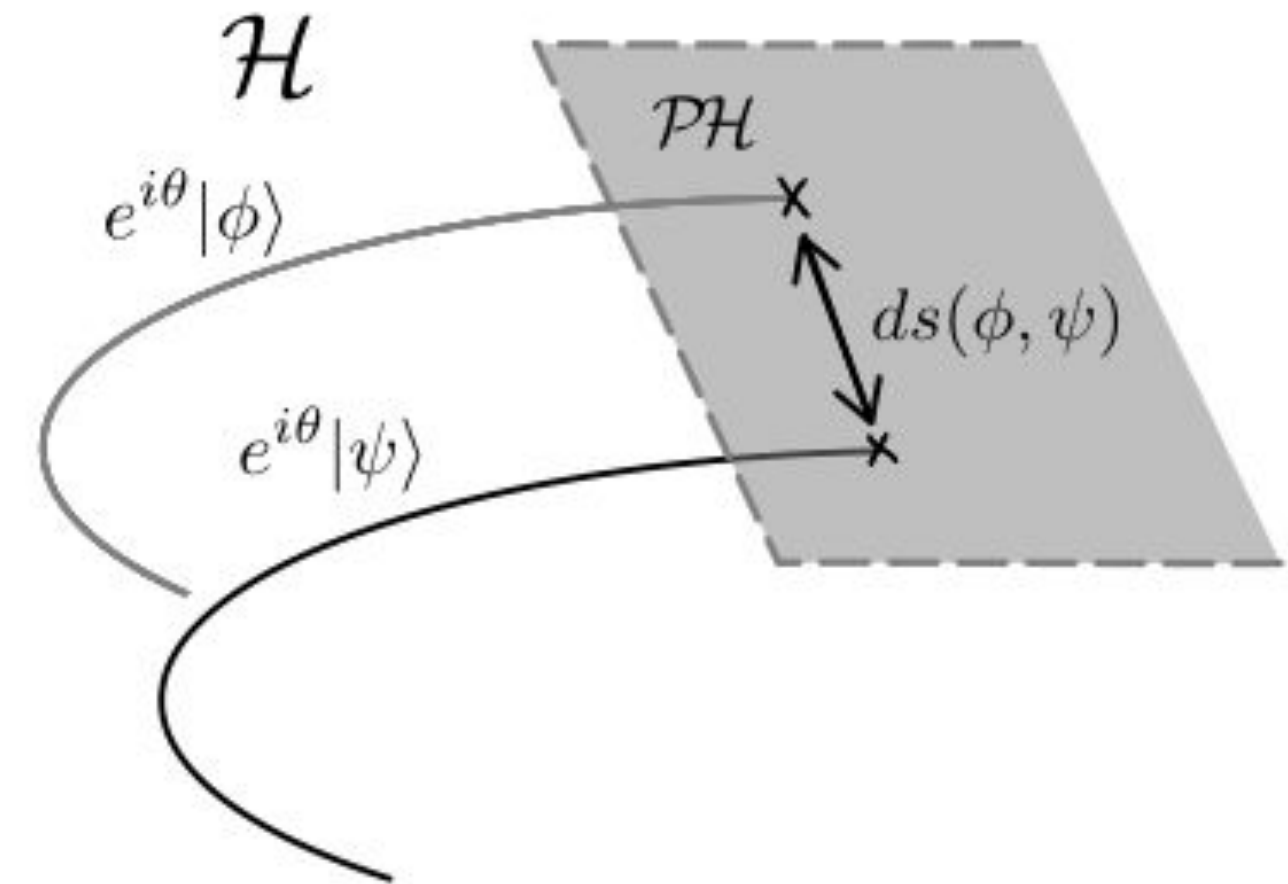
With the **Fubini-Study metric**

$$g_{\mu\nu} = \langle \partial_\mu \psi | \partial_\nu \psi \rangle - \langle \partial_\mu \psi | \psi \rangle \langle \psi | \partial_\nu \psi \rangle$$

by construction invariant under the **gauge transformations**

$$|\psi(\xi)\rangle \rightarrow e^{i\alpha(\xi)} |\psi(\xi)\rangle$$

We adapted it to define other projective spaces, considering an alternative **equivalence class**.



I. Entanglement and Quantum Correlations

1) Pure Quantum States: Entanglement and Correlation Patterns

States with the exact same statistical properties are **local-unitary (LU) equivalent**.

(Rotating the frame does not change the physics!)

Thus, we considered the **LU equivalence class**:

$$|\phi\rangle = e^{i\alpha} \prod_{\mu} U^{\mu} |\psi\rangle \Leftrightarrow |\phi\rangle \underset{l.u.}{\sim} |\psi\rangle$$

And we defined the **Entanglement Metric**:

$$g_{\mu\nu}(|\psi\rangle, \{\mathbf{v}^{\mu}\}) = \langle\psi|\sigma_{\mathbf{v}}^{\mu}\sigma_{\mathbf{v}}^{\nu}|\psi\rangle - \langle\psi|\sigma_{\mathbf{v}}^{\mu}|\psi\rangle\langle\psi|\sigma_{\mathbf{v}}^{\nu}|\psi\rangle$$

$$\text{with } \sigma_{\mathbf{v}}^{\mu} = \sum_{j=x,y,z} v_j^{\mu} \sigma_j^{\mu}$$

I. Entanglement and Quantum Correlations

1) Pure Quantum States: Entanglement and Correlation Patterns

We define the **Entanglement Distance (ED)** as the minimum of its diagonal elements

$$E_{\mu}(|\psi\rangle) = \min_{\mathbf{v}^{\mu}} g_{\mu\mu}(|\psi\rangle, \mathbf{v}^{\mu}) = 1 - \sum_{j=x,y,z} |\langle\psi|\sigma_j^{\mu}|\psi\rangle|^2$$

Entanglement monotone: does not increase, in average, under ***Local Operations and Classical Communication (LOCC)***, i.e. the operations that cannot generate entanglement.

I. Entanglement and Quantum Correlations

1) Pure Quantum States: Entanglement and Correlation Patterns

Projective measurement: $|s\rangle \longrightarrow |s'\rangle = \frac{P_m^\nu |s\rangle}{\sqrt{\langle s|P_m^\nu|s\rangle}}$

Maximum correlation

Operators and projective measures are equivalent (have the same effect on s):

$$\langle s|\sigma_v^\mu \sigma_u^\nu |s\rangle = 1$$

$$\sigma_u^\nu |s\rangle = \sigma_v^\mu |s\rangle$$

$$P_u^\nu |s\rangle = P_v^\mu |s\rangle = P_u^\nu P_v^\mu |s\rangle$$

Maximally entangled in μ and ν

Post-measurement expectation value equates pre-measurement correlator:

$$\langle s'|\sigma_v^\mu |s'\rangle = \langle s|\sigma_v^\mu \sigma_m^\nu |s\rangle$$

Entanglement-breaking: $\exists \mathbf{v}^\mu$ s.t. $\langle s|\sigma_v^\mu \sigma_m^\nu |s\rangle = 1 \Leftrightarrow E_\mu(|s'\rangle) = 0$

I. Entanglement and Quantum Correlations

1) Pure Quantum States: Entanglement and Correlation Patterns

For some states (in particular, most states useful for **quantum computation**), the **Entanglement Metric g** is **block-diagonal, filled with 0 and 1**.

The number n of blocks provides an **upper bound** to the ***persistence of entanglement***: $P_e \leq n$

I. Entanglement and Quantum Correlations

1) Pure Quantum States: Entanglement and Correlation Patterns

$$P_e \leq n$$

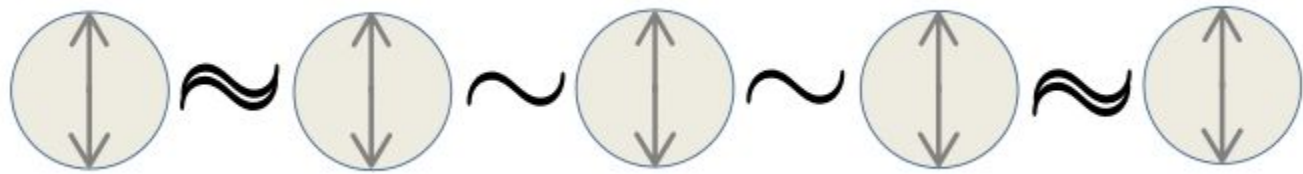
E.g. : Briegel-Raussendorf State of 5 qubits $|\phi_N\rangle = \prod_{\mu=0}^{N-2} \frac{1}{2} (\mathbb{I} - \sigma_z^\mu + \sigma_z^{\mu+1} + \sigma_z^\mu \sigma_z^{\mu+1}) |+\rangle^{\otimes N}$

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$$\tilde{g}(|\phi_5\rangle) = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

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$$\tilde{g}(P_z^3 |\phi_5\rangle) = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

I. Entanglement and Quantum Correlations

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$$\tilde{g}(|\phi_5\rangle) = \begin{pmatrix} \cancel{1} & \cancel{1} & 0 & 0 & 0 \\ \cancel{1} & \cancel{1} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

$$\tilde{g}(P_z^1 |\phi_5\rangle) = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$

I. Entanglement and Quantum Correlations

1) Pure Quantum States: Entanglement and Correlation Patterns

$$P_e \leq n$$

E.g. : Briegel-Raussendorf State of N=5 qubits $|\phi_N\rangle = \prod_{\mu=0}^{N-2} \frac{1}{2} (\mathbb{I} - \sigma_z^\mu + \sigma_z^{\mu+1} + \sigma_z^\mu \sigma_z^{\mu+1}) |+\rangle^{\otimes N}$



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2) Mixed Quantum States: Entanglement and Quantum Correlations

I. Entanglement and Quantum Correlations

2) Mixed Quantum States: Entanglement and Quantum Correlations

Density matrices can describe statistical mixtures of quantum states: $\rho = \sum_k p_k |\psi_k\rangle\langle\psi_k|$

A **density matrix** describes a **statistics**.

It contains information on the **probability of experimental outcomes**, not on the **underlying phenomenon**.

There exists an infinite variety of mixtures **realizing** the exact same **statistics**, hence an infinite number of **realization / decomposition** for a given density matrix.

$$\begin{aligned} \text{e.g.: } \rho &= \frac{1}{2} (|\psi_+\rangle\langle\psi_+| + |\psi_-\rangle\langle\psi_-|) \\ &= \frac{1}{2} (|00\rangle\langle 00| + |11\rangle\langle 11|) \end{aligned}$$

A mixed state is

- **entangled** if and only if each of its decompositions contains **entangled sub-states**,
- **separable** otherwise.

2) Mixed Quantum States: Entanglement and Quantum Correlations

The most common way to adapt for **mixed states** an **entanglement monotone** defined on **pure states** is by **convex roof construction**:

$$E_{\mu}(\rho) := \min_{\{p_j, \psi_j\}} \sum_j p_j E_{\mu}(|\psi_j\rangle)$$

But this *optimization procedure* is **practically intractable**, as the dimensionality of the space to explore **scales exponentially** with the size of the system.

I. Entanglement and Quantum Correlations

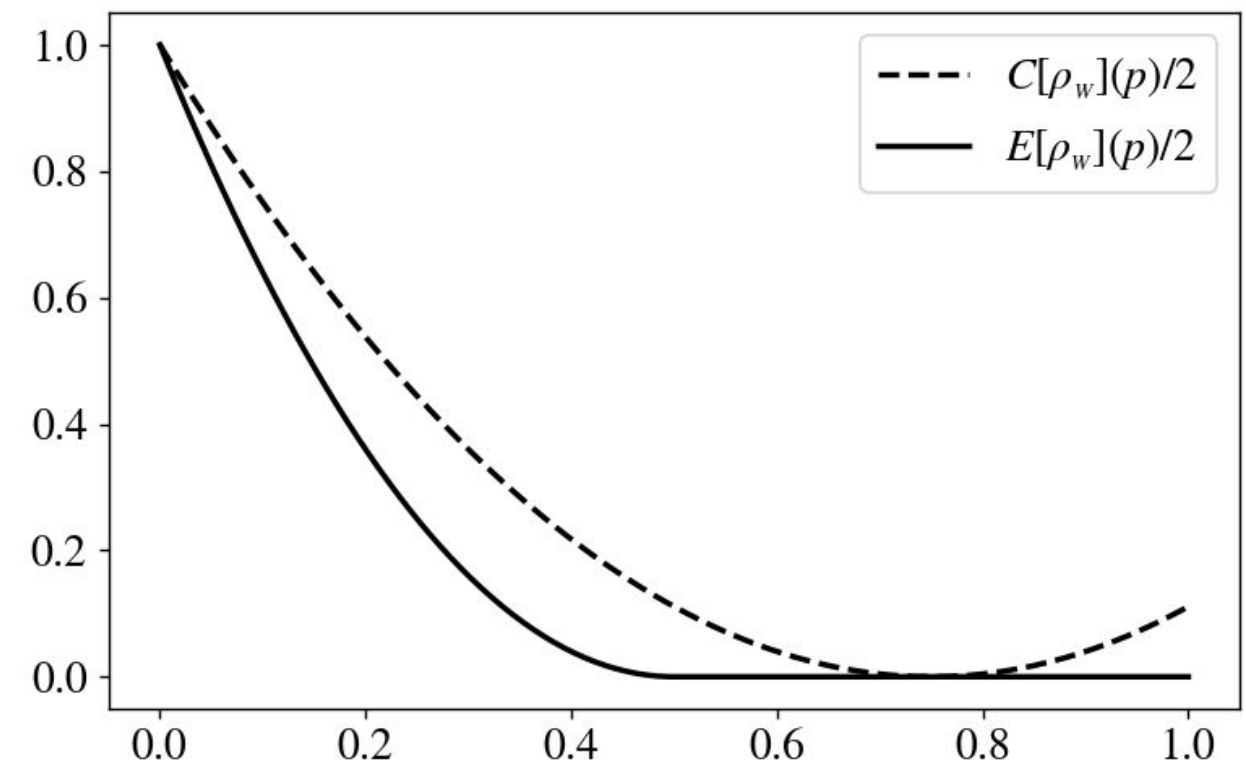
2) Mixed Quantum States: Entanglement and Quantum Correlations

Again, using the **FS-metric**, we obtained a measure of **quantum correlations** for mixed states, the **Quantum Correlation Distance (QCD)**:

$$C_{\mu}(\rho) = \text{Tr}(\rho^2) - \lambda_{\max}(A^{\mu}(\rho))$$

$$A_{ij}^{\mu}(\rho) = \text{Tr}[\rho\sigma_i^{\mu}\rho\sigma_j^{\mu}]$$

$$\rho_W = \frac{4p}{3} \frac{\mathbb{I}_4}{4} + \left(1 - \frac{4p}{3}\right) |\phi_{-}\rangle\langle\phi_{-}|$$



p

Separable region

2) Mixed Quantum States: Entanglement and Quantum Correlations

For a **classical state**, there always exists a (unique) **measurement \mathcal{M}^*** leaving the state **unchanged**:

$$\exists! \mathcal{M}^* \mid \mathcal{M}^*[\rho] = \rho$$

Quantum correlations: any property breaking this rule.

Pure states: **entanglement** is the only type of **quantum correlation**.

Mixed states: there exists another type of **quantum correlations**.

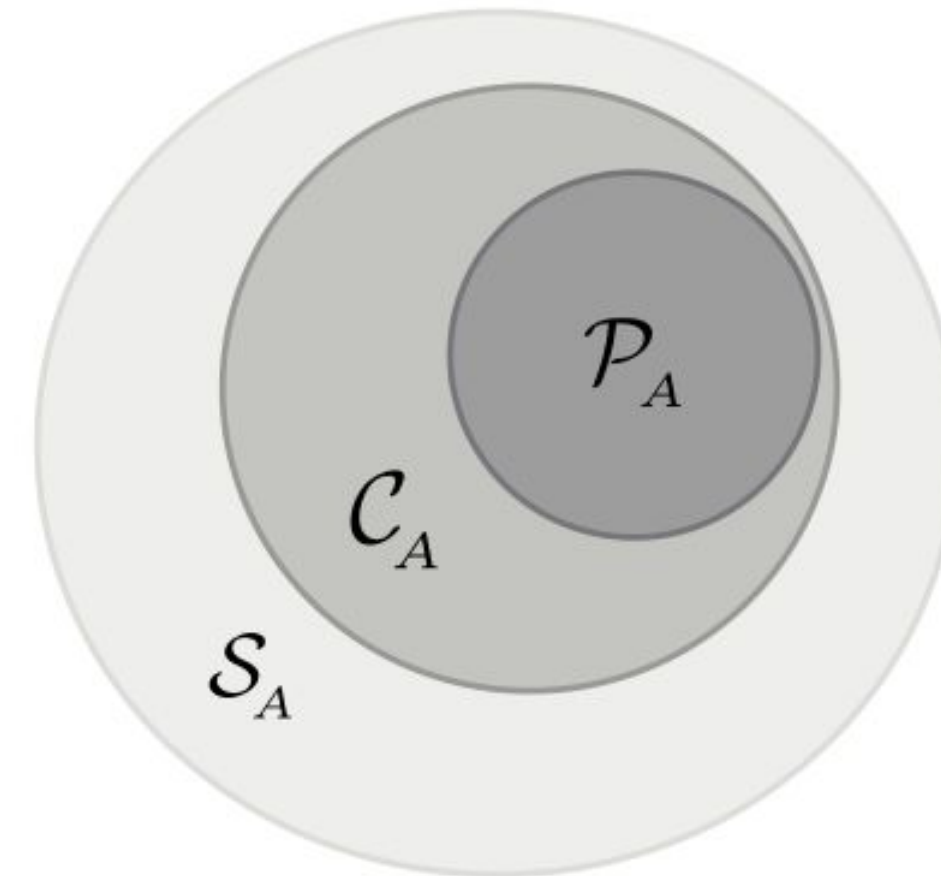
2) Mixed Quantum States: Entanglement and Quantum Correlations

Density matrices have a richer zoology of quantum correlations:

$$\mathcal{P}_A := \{ \rho^{AB} \mid \rho^{AB} = \rho^A \otimes \rho^B \} = \mathcal{P}_B$$

$$\mathcal{C}_A := \left\{ \rho^{AB} \mid \rho^{AB} = \sum_k p_k |k\rangle \langle k|^A \otimes \rho_k^B \right\} \neq \mathcal{C}_B$$

$$\mathcal{S}_A := \left\{ \rho^{AB} \mid \rho^{AB} = \sum_k p_k \rho_k^A \otimes \rho_k^B \right\} = \mathcal{S}_B$$



Quantum dissonance:

$$\rho \in \mathcal{S}_A \setminus \mathcal{C}_A \Leftrightarrow \rho = \sum_k p_k \rho_k^A \otimes \rho_k^B,$$

$$\text{Tr}[\rho_k^A \rho_l^A] \neq \delta_{kl}$$

At variance with **classical systems**, where **distinct states are always orthogonal**:

“Measuring X implies non-Y .”

I. Entanglement and Quantum Correlations

2) Mixed Quantum States: Entanglement and Quantum Correlations

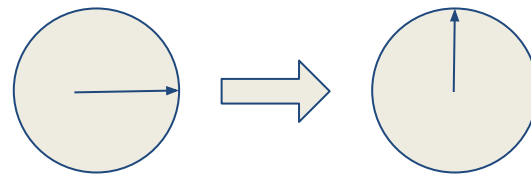
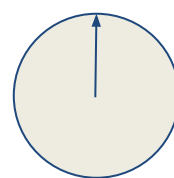
Ideally, we need a mapping $\Phi : \mathcal{S}_A \rightarrow \mathcal{C}_A$

Since **separable yet quantum correlated states** differ from **classical states** by a **local-unitary operation on the sub-states of a given decomposition**, we devised the **regularization procedure**:

$$\rho_U (\{p_k, \rho_k, U_k\}) = \sum_k p_k U_k \rho_k U_k^\dagger$$

e.g. : $\rho = p |\uparrow\uparrow\rangle\langle\uparrow\uparrow| + (1-p) |\rightarrow\rightarrow\rangle\langle\rightarrow\rightarrow|$

$$\rho_U = p \underbrace{|\uparrow\uparrow\rangle\langle\uparrow\uparrow|}_{\text{circle with up arrow}} + (1-p) \underbrace{U |\rightarrow\rightarrow\rangle\langle\rightarrow\rightarrow| U^\dagger}_{\text{circle with right arrow} \rightarrow \text{circle with up arrow}} = |\uparrow\uparrow\rangle\langle\uparrow\uparrow|$$



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and the induced **regularized entangled distance**:

$$E(\rho) = \min_{\{p_k, \rho_k\}} \left\{ \sum_{\mu=1}^{M-1} \min_{\{U_k\}} C_\mu (\rho_U (\{p_k, \rho_k, U_k\})) \right\}$$

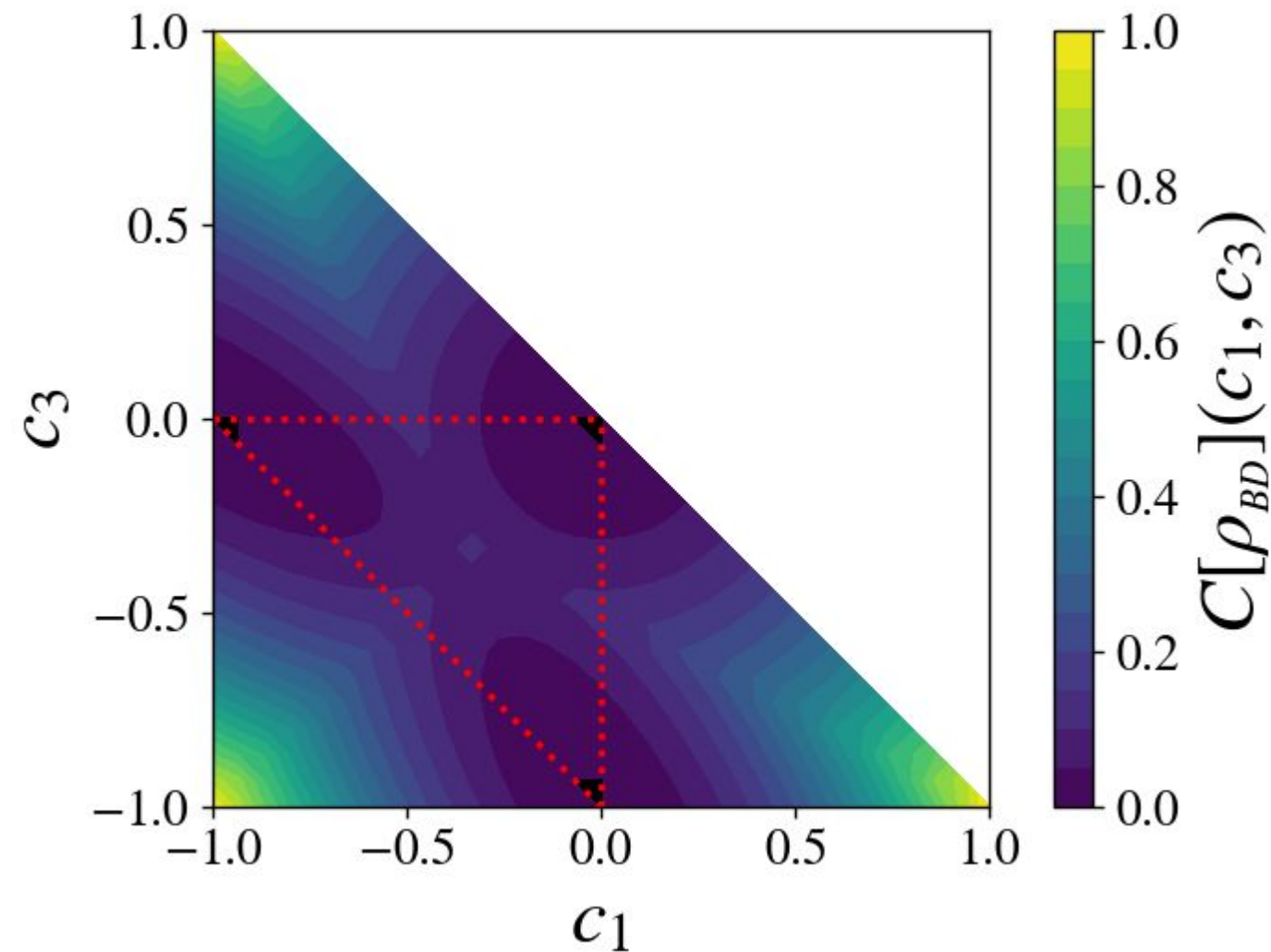
Very similar to the **convex roof construction** but, at least **for some special cases**, and choosing the appropriate **decomposition** (*not necessarily the separable one*), the optimization is much simpler.

I. Entanglement and Quantum Correlations

2) Mixed Quantum States: Entanglement and Quantum Correlations

Application on **Bell-diagonal states** (mixture of Bell states):

$$\rho_{BD}(\{p_\alpha\}) = \sum_{\alpha=1}^4 p_\alpha |\psi_\alpha\rangle\langle\psi_\alpha|$$

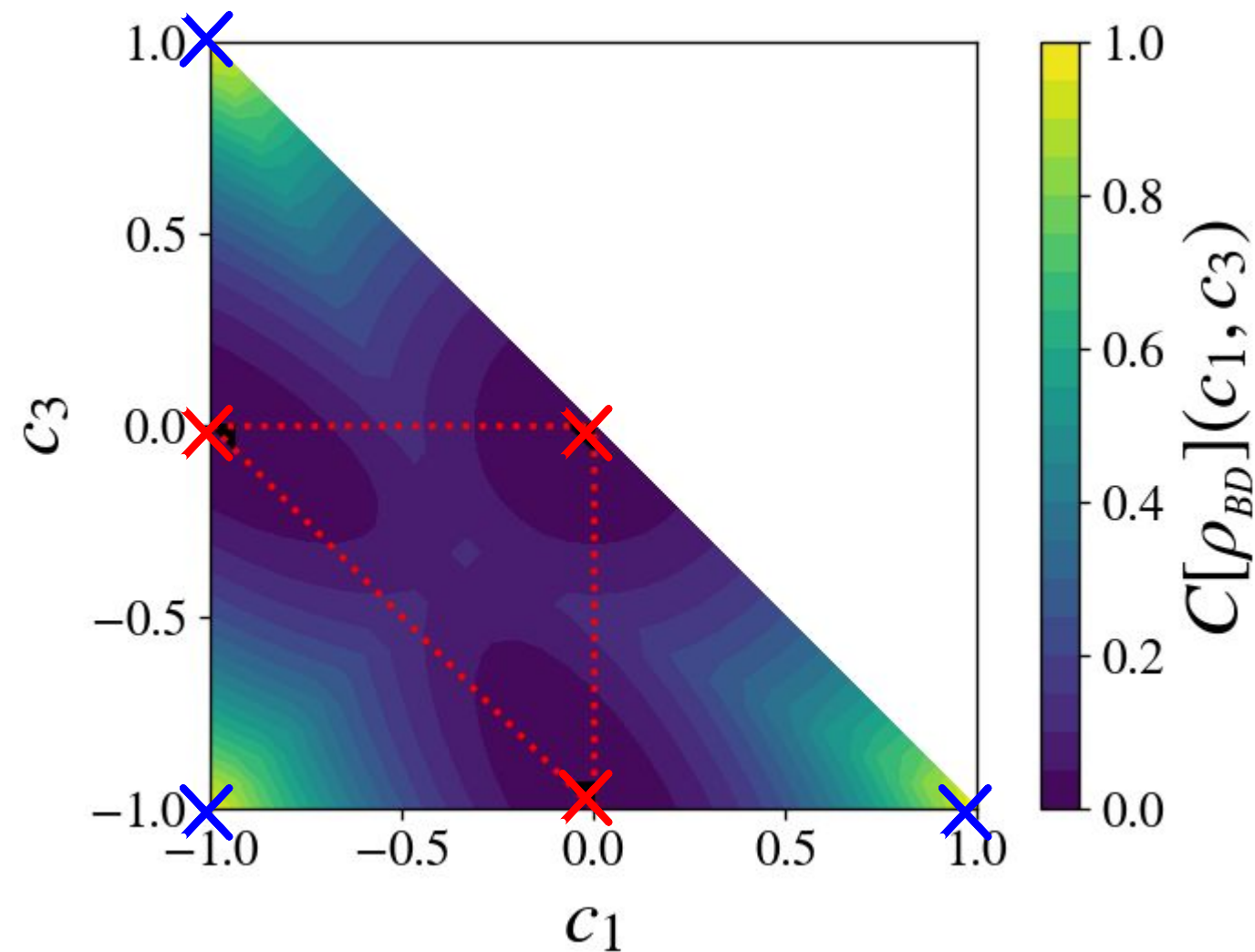


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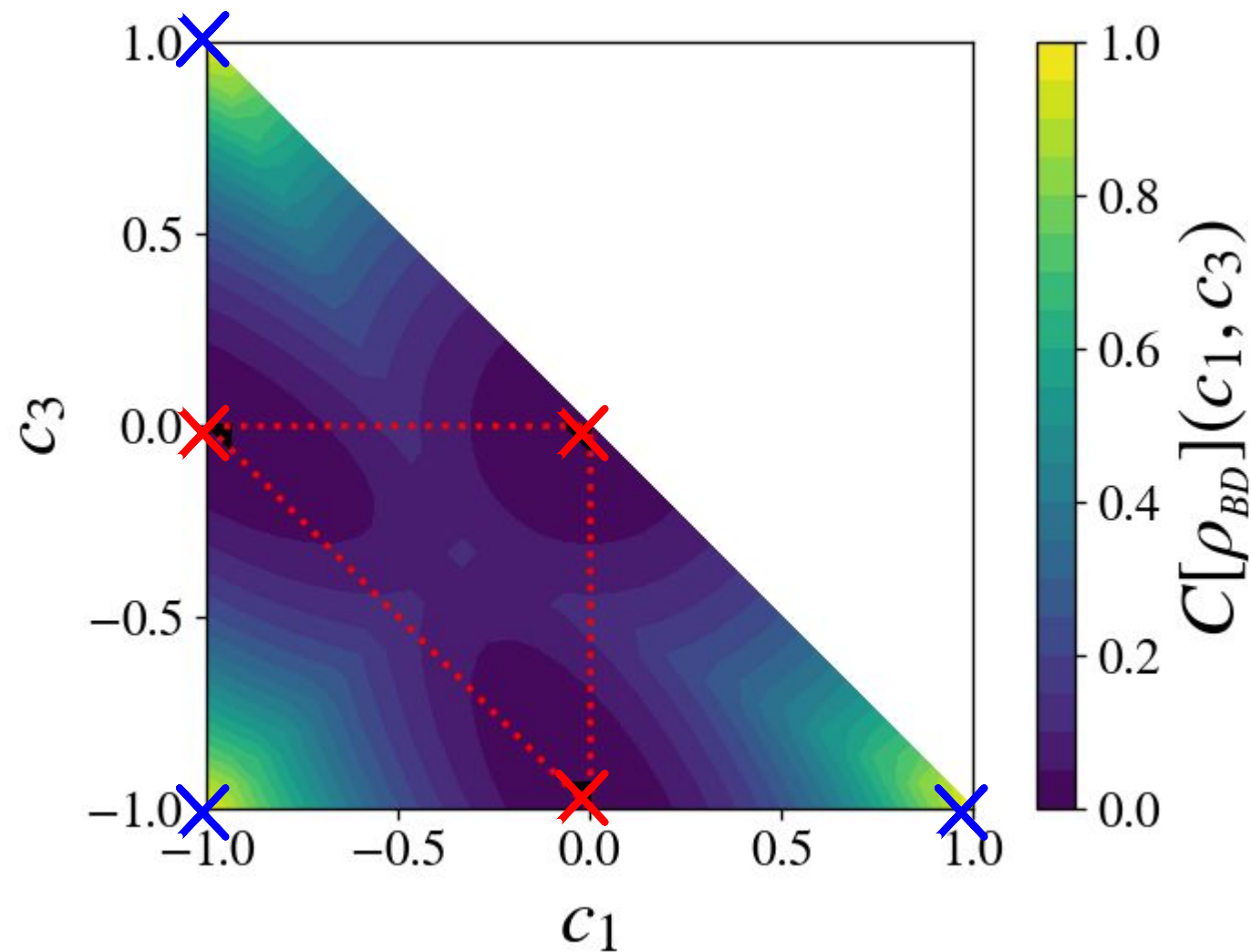


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$$\rho_{BD}(\{p_\alpha\}) = \sum_{\alpha=1}^4 p_\alpha |\psi_\alpha\rangle\langle\psi_\alpha| \quad \longrightarrow \quad \rho_{BD}^U(\{p_\alpha, U_\alpha\}) = \sum_{\alpha=1}^4 p_\alpha U_\alpha |\psi_\alpha\rangle\langle\psi_\alpha| U_\alpha^\dagger$$

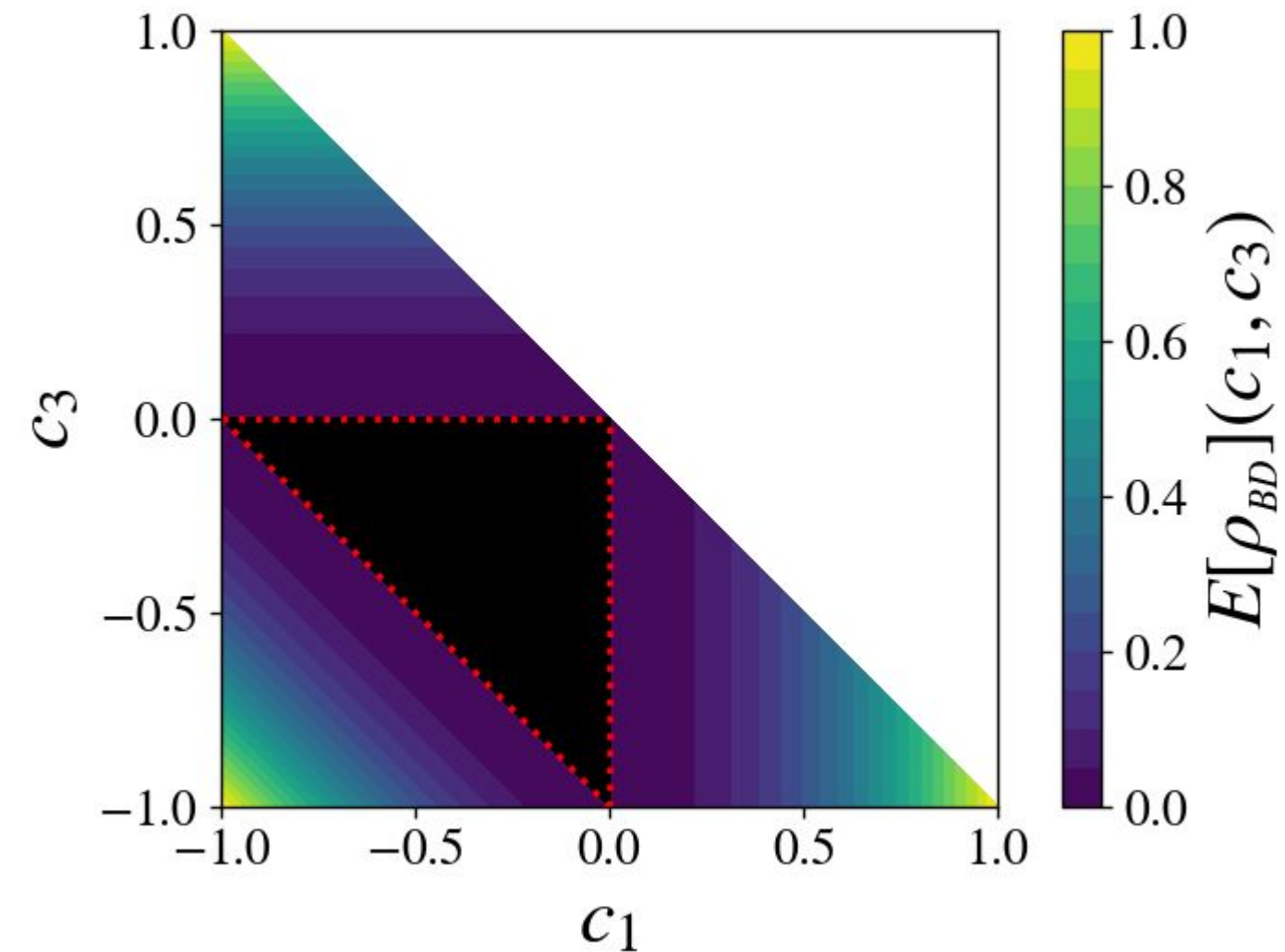
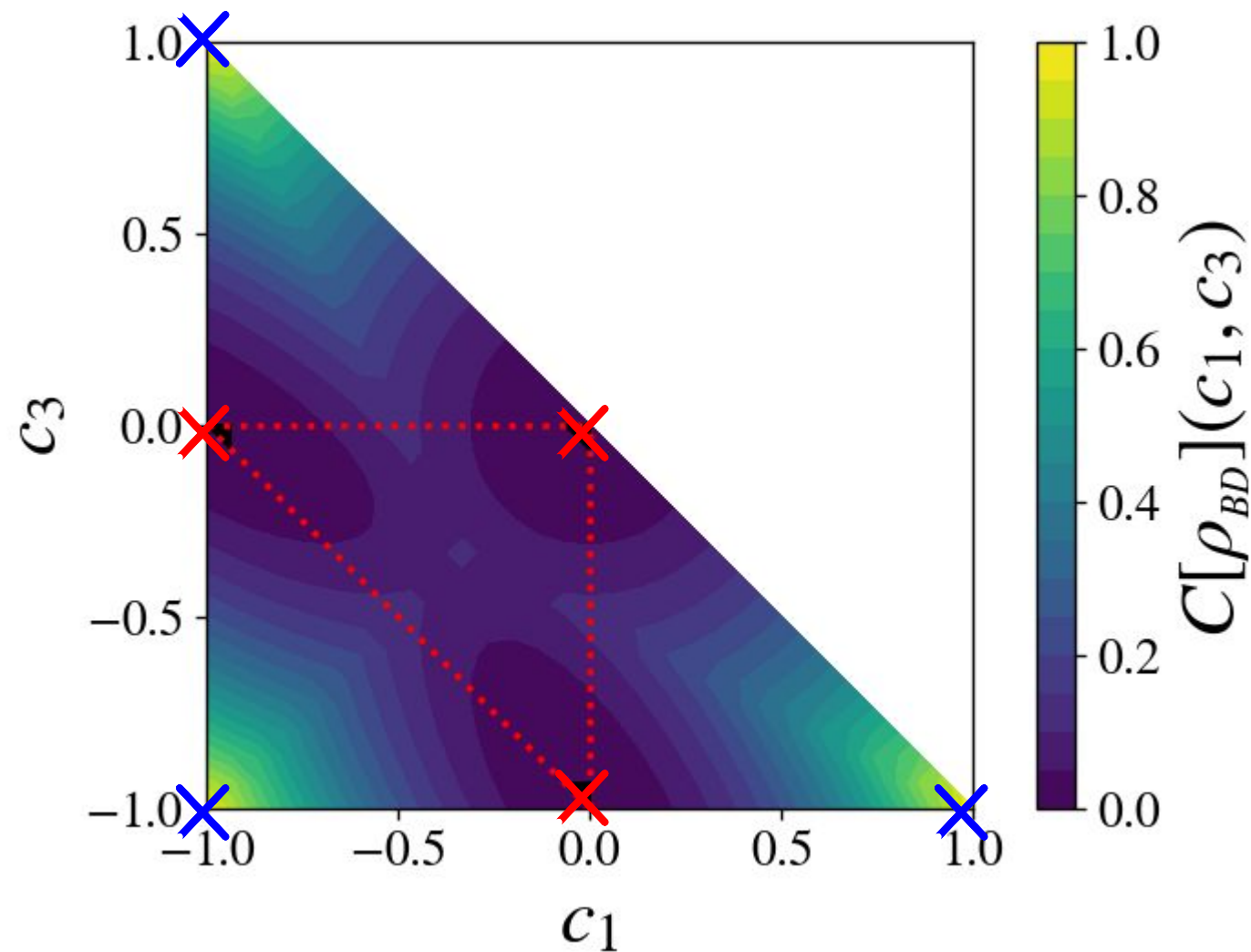


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3) Quantum Phase Transition in the Tavis-Cummings Model

I. Entanglement and Quantum Correlations

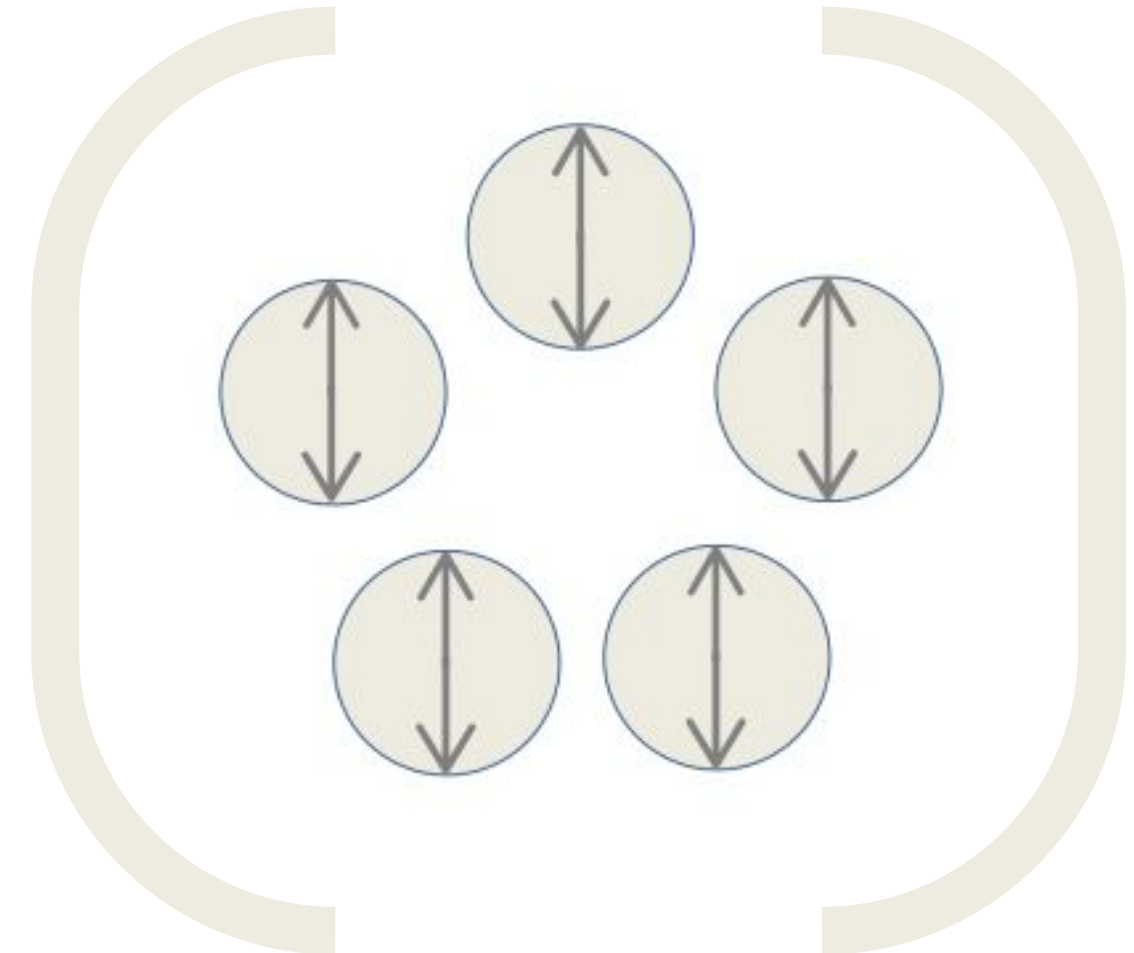
3) Quantum Phase Transition in the Tavis-Cummings Model

A model describing an assembly of **two-level atoms** in a **single-mode electromagnetic cavity**:

$$H = \underbrace{\omega_c a^\dagger a}_{\text{Field}} + \underbrace{\omega_z S_z}_{\text{Atoms}} - \underbrace{\frac{\lambda}{\sqrt{N}} (a^\dagger S_- + a S_+)}_{\text{Coupling}}$$

Quantum phase transition: structural changes of the ground state happen at **zero temperature**, brought by varying the parameter

$$g = \frac{\lambda}{\sqrt{\omega_c \omega_z}}$$



3) Quantum Phase Transition in the Tavis-Cumming Model

$$H = H_I + H_{II}$$

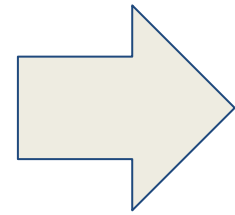
$$H_I = \omega_c (a^\dagger a + S_z)$$

$$[H, H_I] = 0$$

I. Entanglement and Quantum Correlations

3) Quantum Phase Transition in the Tavis-Cumming Model

$$\begin{aligned} H &= H_I + H_{II} \\ H_I &= \omega_c (a^\dagger a + S_z) \\ [H, H_I] &= 0 \end{aligned}$$



We used this **conserved quantity** to exploit the **block structure** of the **infinite-dimensional Hamiltonian** into **finite sub-eigenspace**.

$$H = \begin{pmatrix} H_0 & \cdots & \cdots & \cdots \\ \vdots & \ddots & & \\ \vdots & & H_k & \\ \vdots & & & \ddots \\ \vdots & & & & \ddots \end{pmatrix}$$

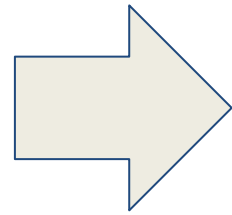
I. Entanglement and Quantum Correlations

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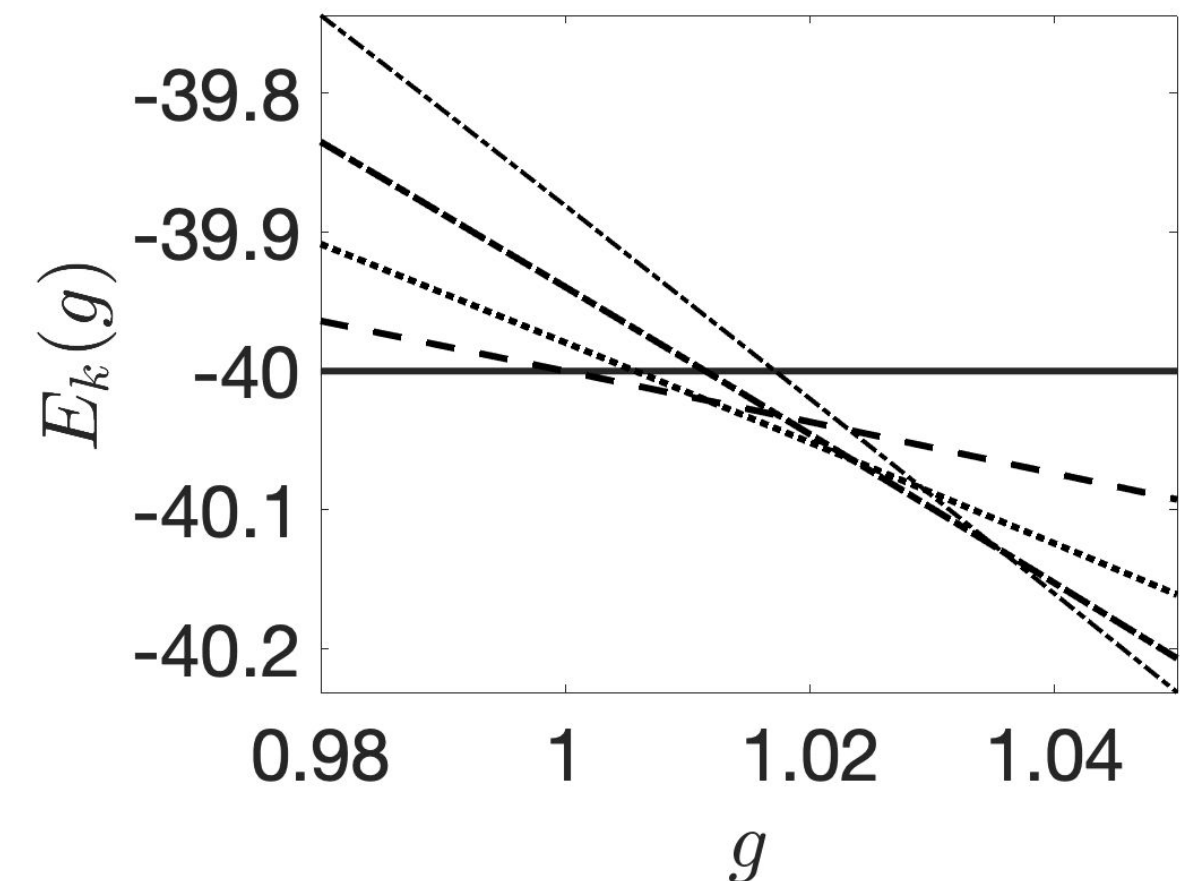
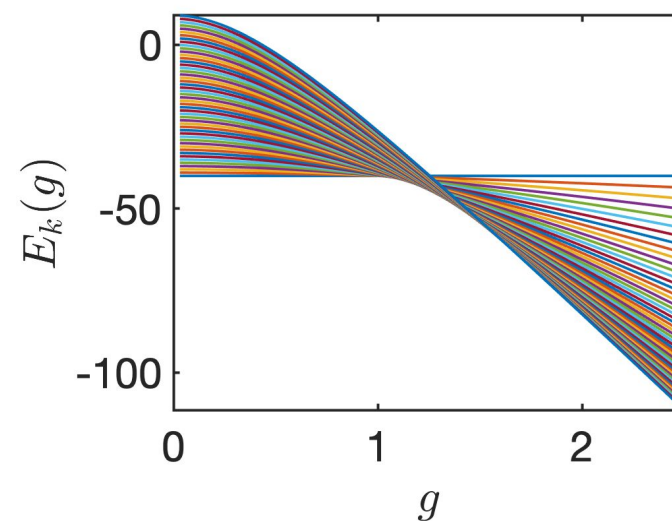
We used this **conserved quantity** to exploit the **block structure** of the **infinite-dimensional Hamiltonian** into **finite sub-eigenspace**.

$$H = \begin{pmatrix} H_0 & \cdots & \cdots & \cdots \\ \vdots & \ddots & & \\ \vdots & & H_k & \\ \vdots & & & \ddots \end{pmatrix}$$

As g varies, the **ground state (GS)** changes of sub-space, with an **increase** of k , thus of:

- the **number of photons**
- the **total spin**
- the **degeneracy**

Symmetry breaking at $g=1$.



I. Entanglement and Quantum Correlations

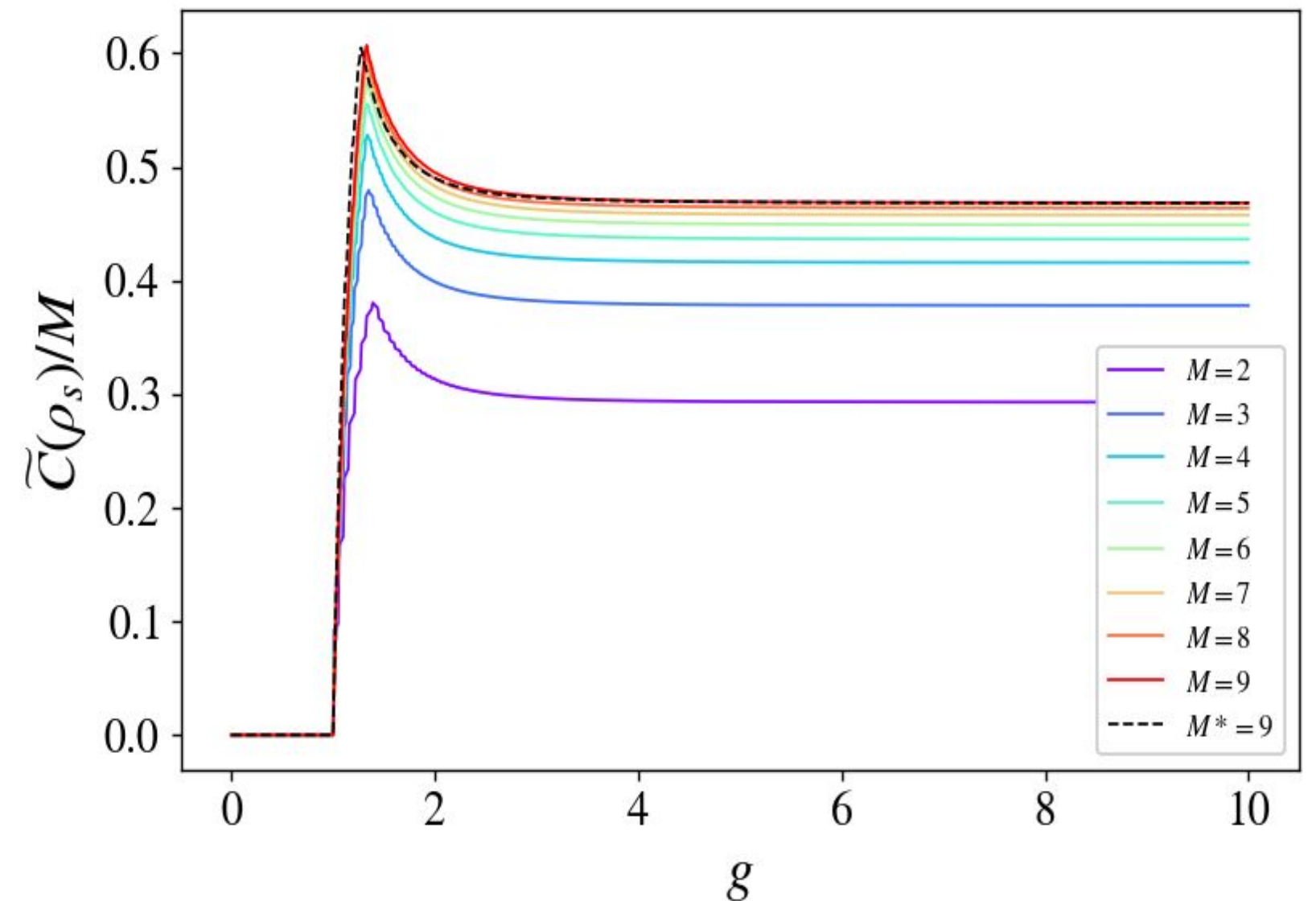
3) Quantum Phase Transition in the Tavis-Cummings Model

Discarding the **field part** of the GS, the remaining **atomic part of the GS** is a **mixture of Dicke state**

$$\rho_s = \sum_{n=0}^M p_n |D_{M-n}^M\rangle \langle D_{M-n}^M|$$

where n is the number of excitations.

We found, using the **QCD**, that ρ_s is **quantum correlated** for $g > 1$, with a peak close to $g = 1$. It **converges to a finite value** with increasing M .



I. Entanglement and Quantum Correlations

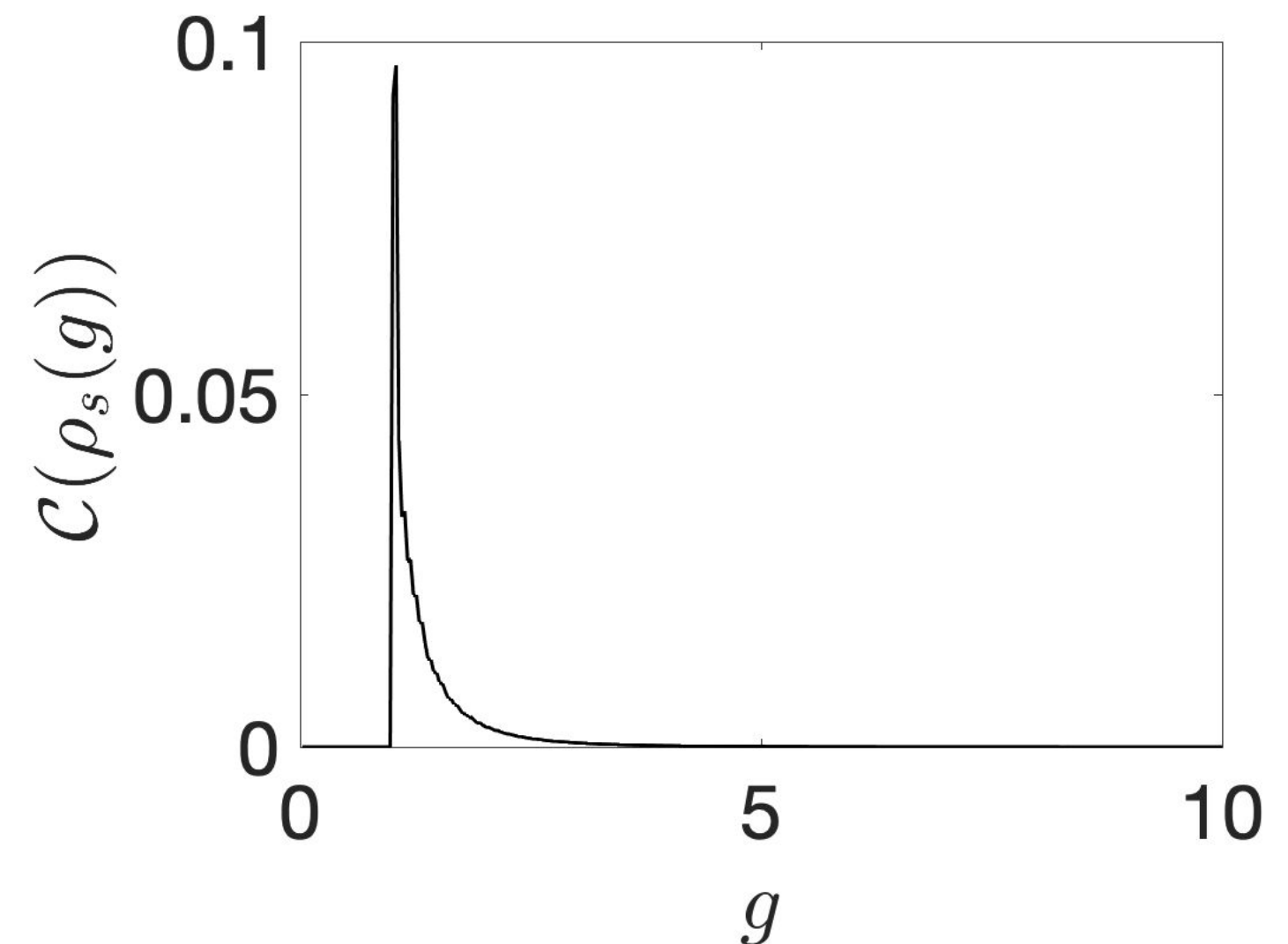
3) Quantum Phase Transition in the Tavis-Cummings Model

We confirmed, using an **entanglement criterion** found in the literature, that the atoms are **entangled** for $g \geq 1$.

But does it hold in the thermodynamic limit?

The **Concurrence** (entanglement for $N=2$) **vanishes** for large g .

For larger systems, we thus conjecture that entanglement also **vanishes for large g** and **converges to a finite value at $g=1$** .



Part II:

Metastability and Phase Transitions in Classical Systems

1) The Biclustler: a Metastable State in the HMF Model

II. Metastability and Phase Transitions in Classical Systems

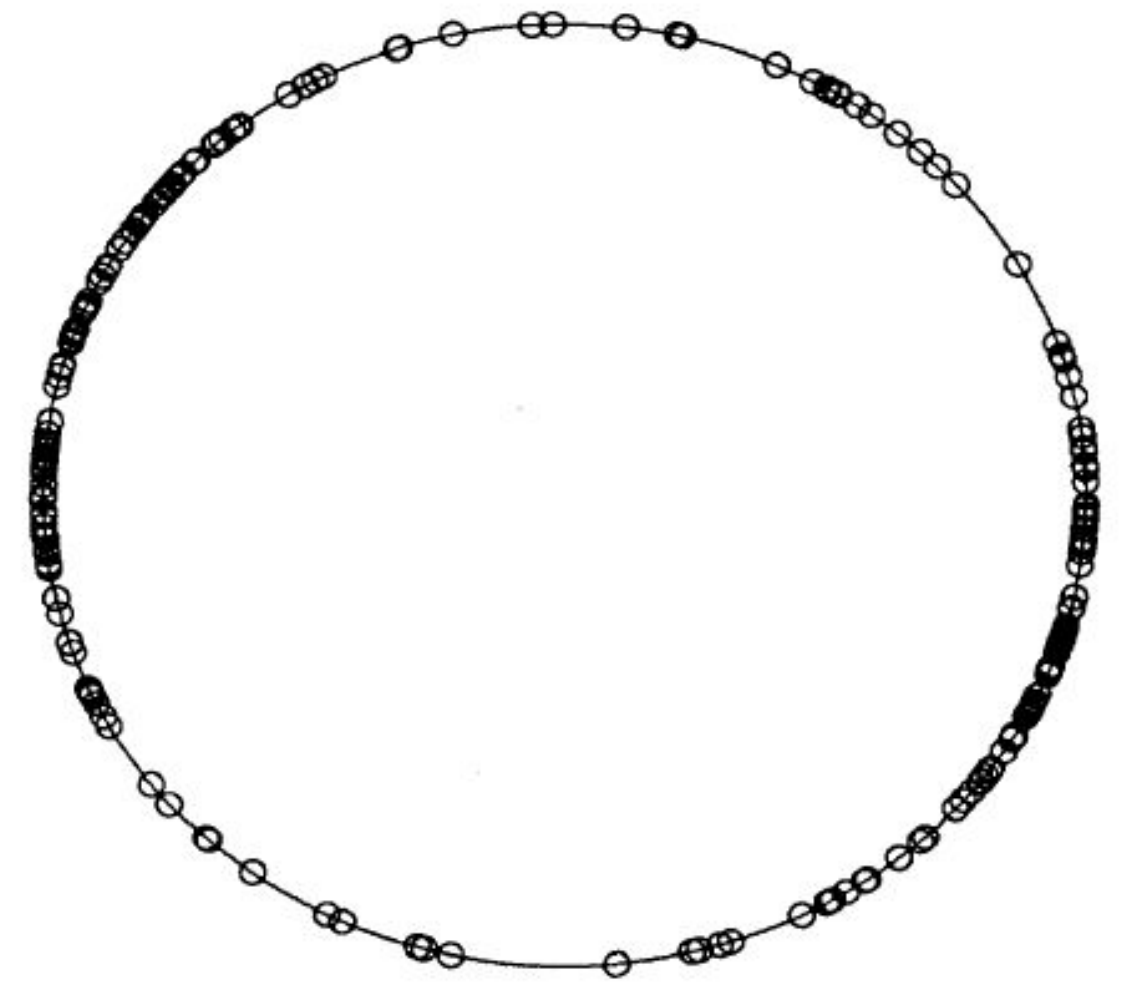
1) The Bicluster: a Metastable State in the HMF Model

The Antiferromagnetic Hamiltonian Mean-Field Model:

$$H = \sum_{i=1}^N \frac{p_i^2}{2} + V(\{\theta_i\})$$

$$V(\{\theta_i\}) = \frac{1}{2N} \sum_{i,j=1}^N \cos(\theta_i - \theta_j) = \frac{N\mathbf{m}^2}{2}$$

$$\mathbf{m} = \frac{1}{N} \sum_{j=1}^N \begin{pmatrix} \cos(\theta_j) \\ \sin(\theta_j) \end{pmatrix}$$



At low energy, a **long-lived metastable structure** is forming: the *bicluster*.

II. Metastability and Phase Transitions in Classical Systems

1) The Bicluster: a Metastable State in the HMF Model

Mean-field-induced self-consistency of the potential

$$\frac{d^2}{dt^2} \mathbf{m}(t) = \mathbf{A}(\{\theta_j\}) \mathbf{m}(t) \quad \Rightarrow \quad \text{Spectrum: } \left\{ \mathbf{m}_{\pm}(\phi_2), \quad -\omega_{\pm}^2 = -\frac{1 \pm |\mathbf{m}^{(2)}|}{2} \right\}$$

$$\mathbf{m}^{(2)} = \frac{1}{N} \sum_{j=1}^N \begin{pmatrix} \cos(2\theta_j) \\ \sin(2\theta_j) \end{pmatrix}$$

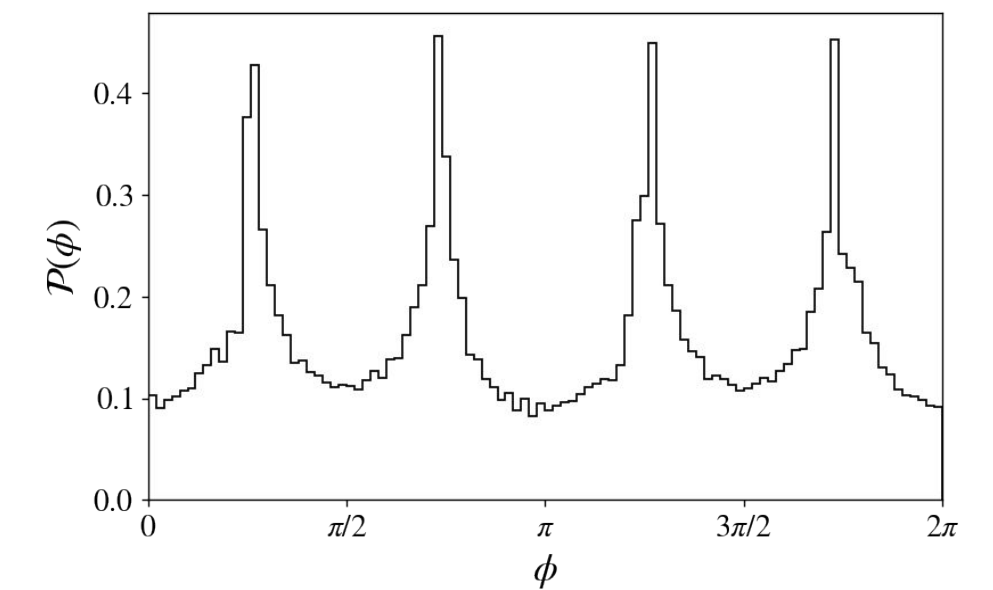
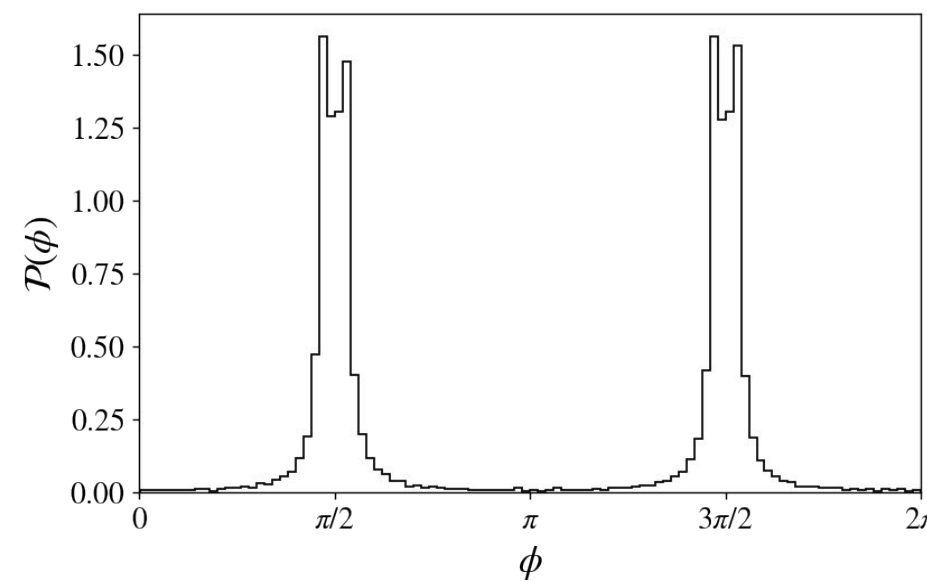
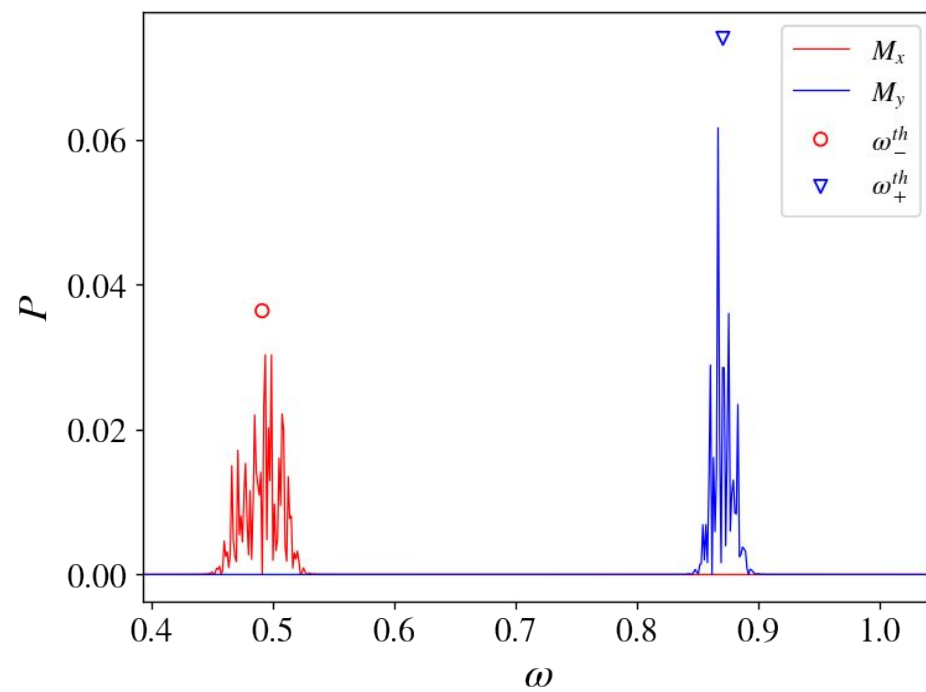
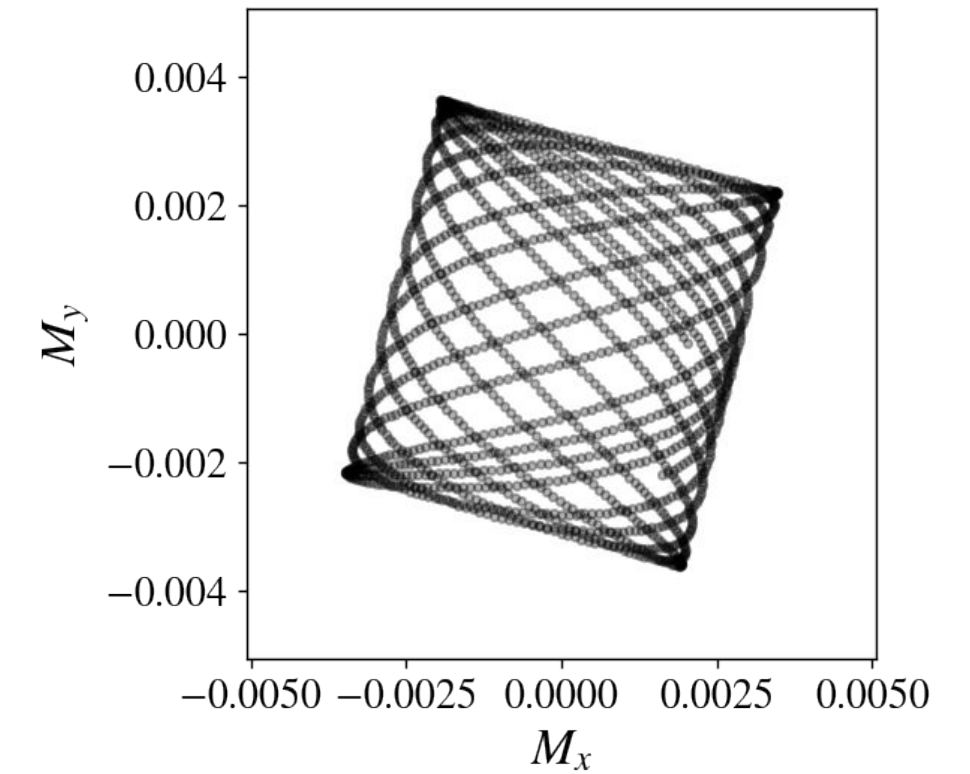
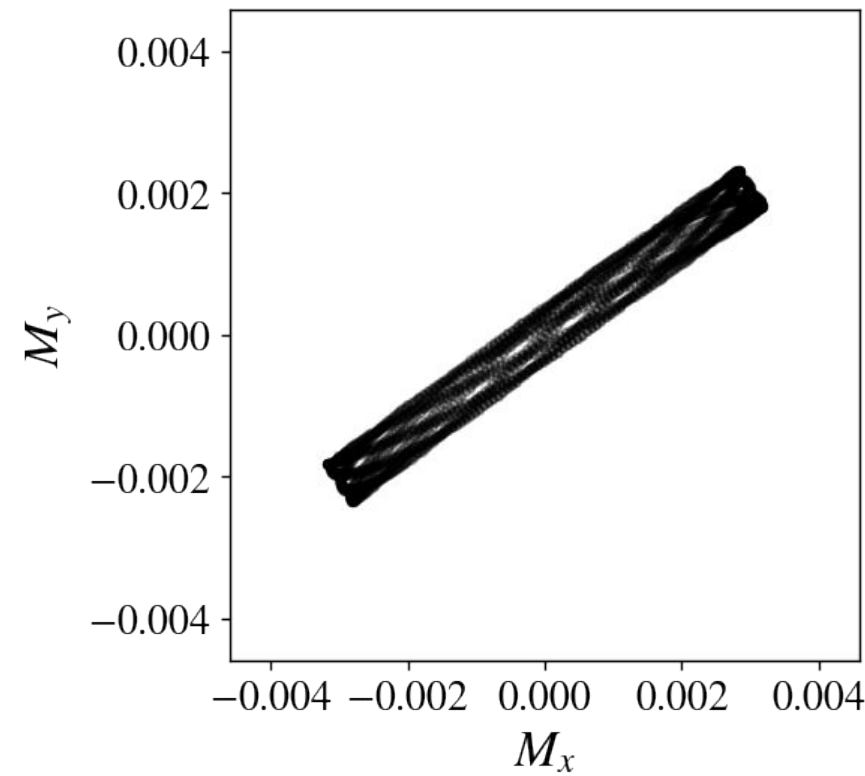
- pseudo order parameter measuring the degree of **biclustering**
- its **phase** defines the **center of mass**
- its **magnitude** determines the **frequencies** (hence the overall shape) of **m**.

II. Metastability and Phase Transitions in Classical Systems

1) The Bicluste: a Metastable State in the HMF Model

Lissajous-like time-dependent potential,
“running from its own shadow”

$$\mathbf{m}(t) = \begin{pmatrix} m_- \cos(\omega_- t + \phi_-) \\ m_+ \cos(\omega_+ t + \phi_+) \end{pmatrix}$$



A. Vesperini, R. Franzosi, S. Ruffo, A. Trombettoni, and X. Leoncini, “Fast collective oscillations and clustering phenomena in an antiferromagnetic mean-field model,” Chaos, Solitons & Fractals, 2021

II. Metastability and Phase Transitions in Classical Systems

1) The Biclusters: a Metastable State in the HMF Model

“*Stroboscopic*” time-dependent potential force: *the ponderomotive effect*.

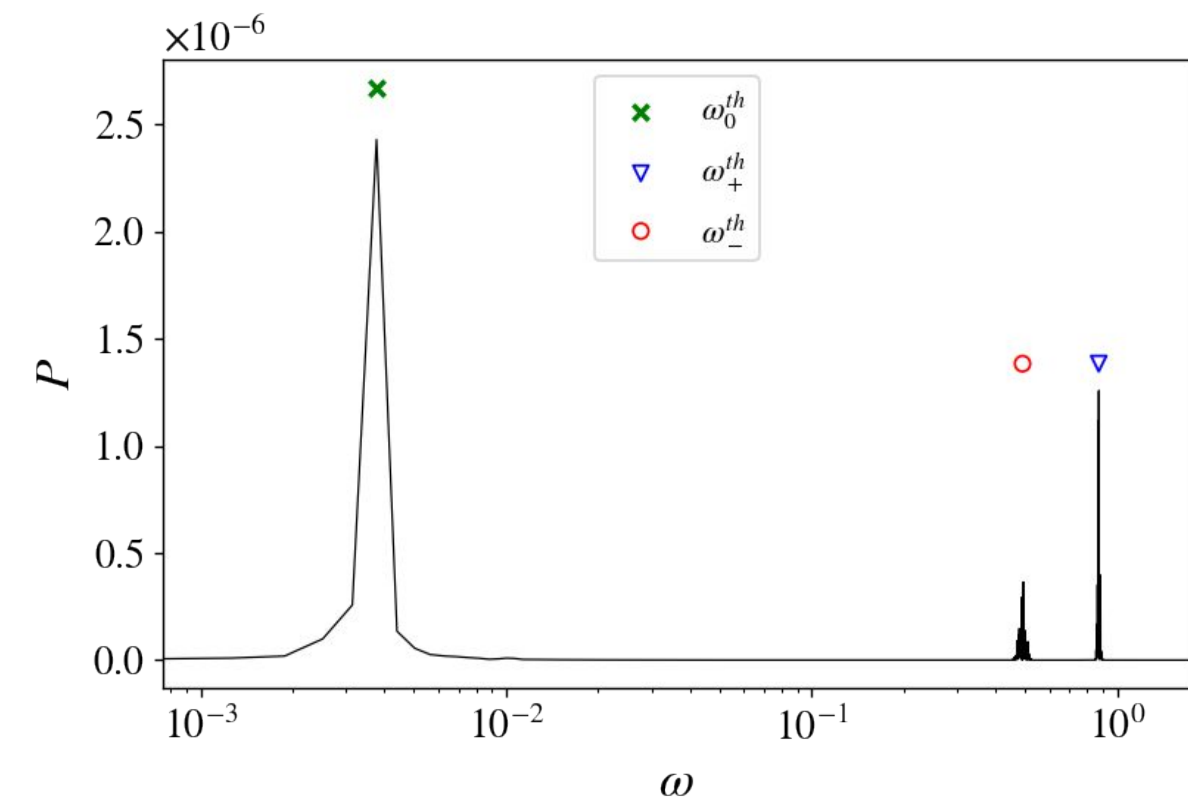
$$\dot{p}_j(t) = m_- \cos(\omega_- t) \sin(\theta_j) - m_+ \cos(\omega_+ t) \cos(\theta_j)$$

Time scale separation:

$$\theta_j(t) = \theta_j^0(\tau, t) + \epsilon f_j(t)$$

Leading to an expression for the **low frequency**:

$$\omega_0 = \frac{1}{\sqrt{2}} \sqrt{\frac{m_-^2}{\omega_-^2} - \frac{m_+^2}{\omega_+^2}}$$

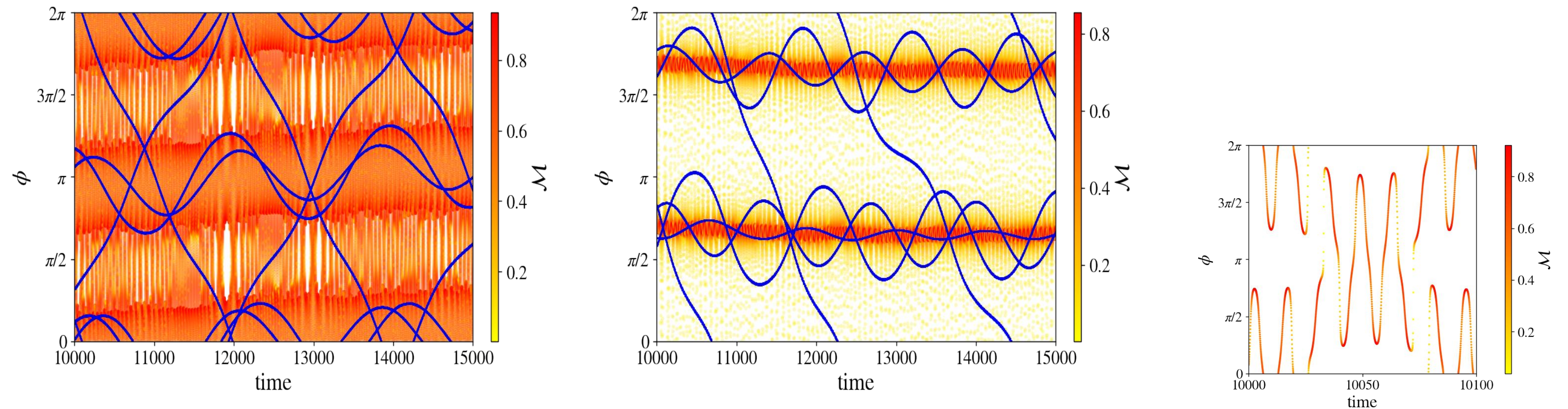


Power spectrum of a single trapped rotator, in a system with well-formed biclusters ($|\mathbf{m}^{(2)}| \approx 0.5$), at low energy ($e \approx 10^{-5}$).

II. Metastability and Phase Transitions in Classical Systems

1) The Bicluster: a Metastable State in the HMF Model

Overall bimodal dynamic picture



A. Vesperini, R. Franzosi, S. Ruffo, A. Trombettoni, and X. Leoncini, "Fast collective oscillations and clustering phenomena in an antiferromagnetic mean-field model," *Chaos, Solitons & Fractals*, 2021

2) The Glass Transition: a Topological Point of View

II. Metastability and Phase Transitions in Classical Systems

2) The Glass Transition: a Topological Point of View

The conventional **theories of phase transitions** lack generality.

Many **phase transitions** are in fact poorly described by the conventional theories:

- The **Yang-Lee theory** relies on the thermodynamic limit, thus does not apply to transitions in **small systems** (e.g. **protein folding**).
- The heuristic **theory of Landau** fails in the absence of ***order parameter*** and ***symmetry breaking*** (e.g. **Kosterlitz–Thouless transition**).

M. Pettini, *Geometry and Topology in Hamiltonian Dynamics and Statistical Mechanics*, Springer New York, 2007

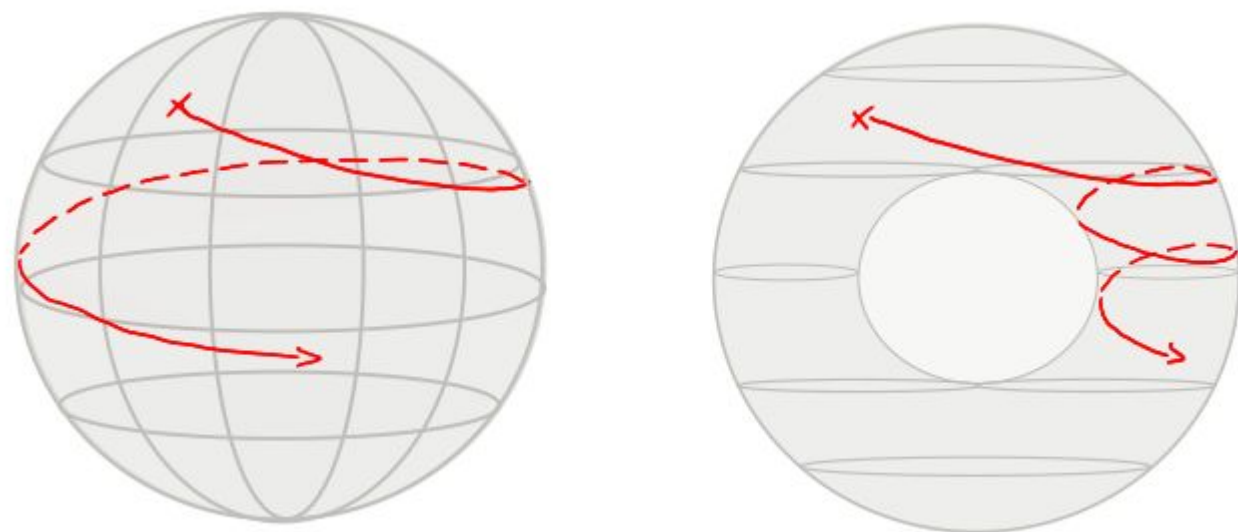
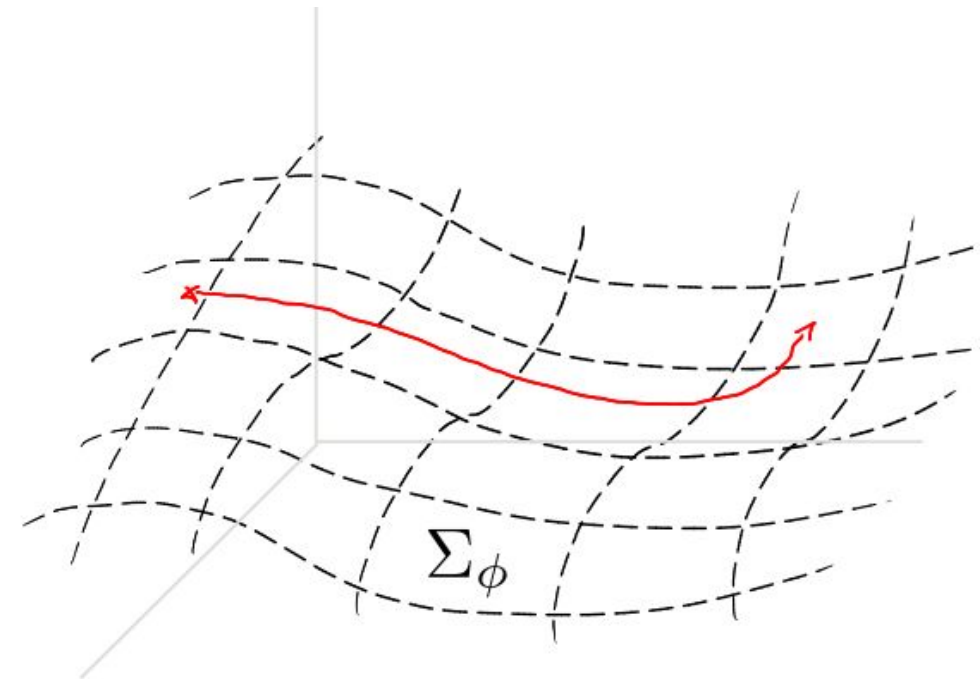
M. Gori et al., “*Topological origin of phase transitions in the absence of critical points of the energy landscape*”, J. Stat. Mech., 2018

L. Di Cairano et al., “*Topology and Phase Transitions: A First Analytical Step towards the Definition of Sufficient Conditions*”, Entropy, 2021

II. Metastability and Phase Transitions in Classical Systems

2) The Glass Transition: a Topological Point of View

An **isolated N-body system** can be represented as a point in a **6N-dimensional space**, with its **dynamics constrained on hypersurface of constant E**.



Topological theory of phase transitions:
Phase transitions are accompanied by a change of the topology of the potential level sets (PLS, i.e. iso-potential hypersurfaces).

M. Pettini, *Geometry and Topology in Hamiltonian Dynamics and Statistical Mechanics*, Springer New York, 2007

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II. Metastability and Phase Transitions in Classical Systems

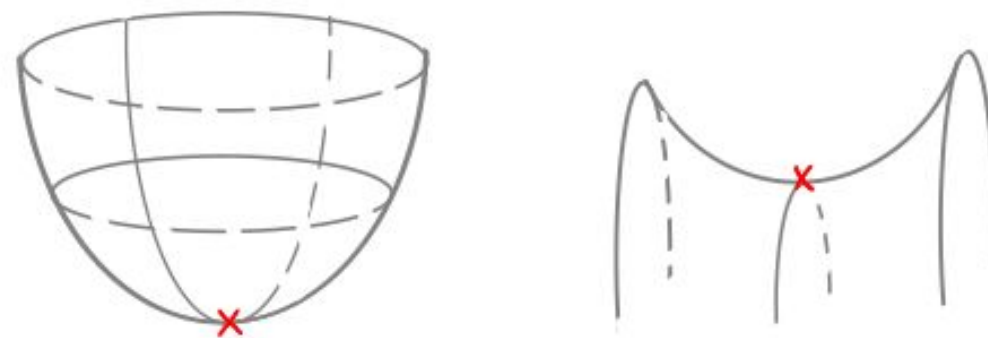
2) The Glass Transition: a Topological Point of View

Glass transition : from **liquid** to **amorphous solid**.

No order parameter nor symmetry breaking, thus **Landau theory** fails.

Dynamical freezing comes from a large number of **deep potential wells** in the low energy phase.

It has been shown that, at the transition, the **instability index density** of the **critical points** of the potential energy vanishes.



Morse theory shows that changes of **stability indices** are accompanied by **changes of topology**.

II. Metastability and Phase Transitions in Classical Systems

2) The Glass Transition: a Topological Point of View

Model of glass-former: a frustrated Lennard-Jones binary mixture

$$\Phi(\mathbf{\Gamma}) = \underbrace{\sum_{i,j \in \Lambda_1} 4\epsilon_{11} \left(\frac{\sigma_{11}}{r_{ij}}\right)^{12}}_{\substack{\text{Intra-species} \\ \text{Repulsive}}} + \underbrace{\sum_{i,j \in \Lambda_2} 4\epsilon_{22} \left(\frac{\sigma_{22}}{r_{ij}}\right)^{12}}_{\substack{\text{Intra-species} \\ \text{Repulsive}}} + \underbrace{\sum_{i \in \Lambda_1, j \in \Lambda_2} 4\epsilon_{12} \left[\left(\frac{\sigma_{12}}{r_{ij}}\right)^{12} - \left(\frac{\sigma_{12}}{r_{ij}}\right)^6 \right]}_{\substack{\text{Inter-species} \\ \text{Short-range repulsive,} \\ \text{long-range attractive}}}$$

II. Metastability and Phase Transitions in Classical Systems

2) The Glass Transition: a Topological Point of View

Because of the phenomenon of **dynamical freezing**, **glass-formers** are notoriously hard to simulate: **thermodynamic equilibrium** is very difficult to achieve.

We used a **Microcanonical Monte-Carlo (MC) algorithm**, implementing various techniques (**particle swapping, replica exchange**) to speedup the simulation by *jumping over the potential barriers*.

II. Metastability and Phase Transitions in Classical Systems

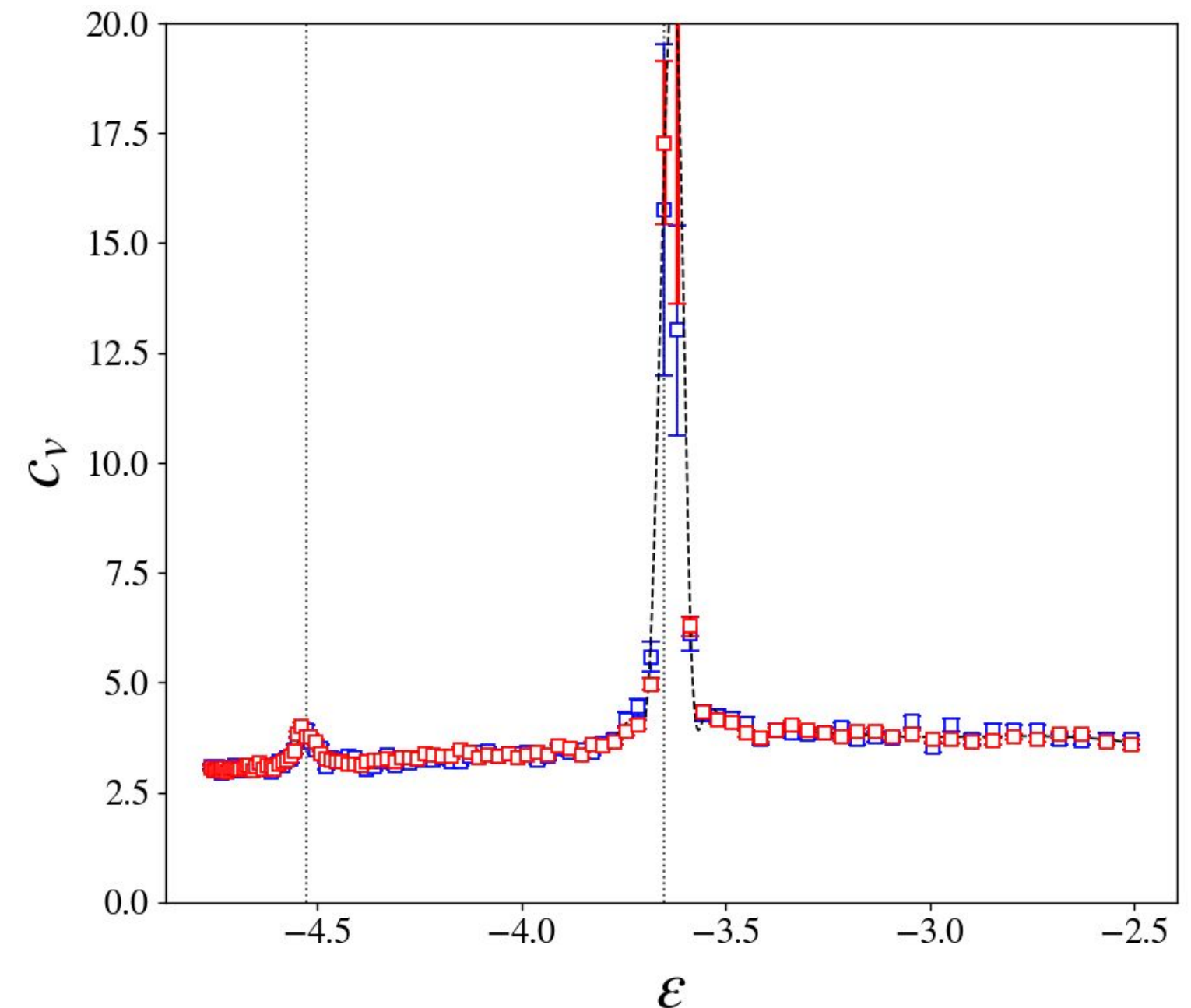
2) The Glass Transition: a Topological Point of View

Specific heat computed:

- from **fluctuations of kinetic energy** (in **blue**)
- from **derivative of T with respect to E** (in **red**)

The agreement of both methods suggest (quasi) **equilibrium**.

Two-step 2nd order transition, with clear **divergence** of high E peak.



II. Metastability and Phase Transitions in Classical Systems

2) The Glass Transition: a Topological Point of View

We are looking for a **signature of topological changes**, and thus need relations between **computable geometric quantities** (e.g. *mean curvature of the PLS, ...*) and **topological invariants**.

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G. Bel-Hadj-Aissa, M. Gori, R. Franzosi, and M. Pettini, “*Geometrical and topological study of the Kosterlitz–Thouless phase transition in the XY model in two dimensions*”, J. Stat. Mech., 2021

II. Metastability and Phase Transitions in Classical Systems

2) The Glass Transition: a Topological Point of View

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Pinkall's theorem (abridged): a link between the average **dispersion of the principal curvatures**, and a weighted sum of the **Betti numbers** b_i .

$$\langle \sigma_{\kappa}^2 \rangle_{\Sigma_{\phi}} \sim \left[\sum_{i=1}^{3N} w_i b_i(\Sigma_{\phi}) \right]^{2/3N}$$

An abrupt change of the LHS corresponds to a change of the RHS, i.e. most likely to a change of the **Betti numbers**, thus of **the topology of the PLS**.

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II. Metastability and Phase Transitions in Classical Systems

2) The Glass Transition: a Topological Point of View

We are looking for a **signature of topological changes**, and thus need relations between **computable geometric quantities** (e.g. *mean curvature of the PLS, ...*) and **topological invariants**.

Overholt's theorem (abridged): yet another link, between the **variance of the scalar curvature**, and the sum of the **Betti numbers b_i** .

$$\langle R_{\Sigma}^2 \rangle - \langle R_{\Sigma} \rangle^2 \gtrsim C_{\Sigma\phi} \left[\sum_{i=0}^{3N} b_i(\Sigma\phi) \right]^{2/3N}$$

Again, an abrupt change of the LHS most likely corresponds to a change of the **Betti numbers**, i.e. a **change of the topology of the PLS**.

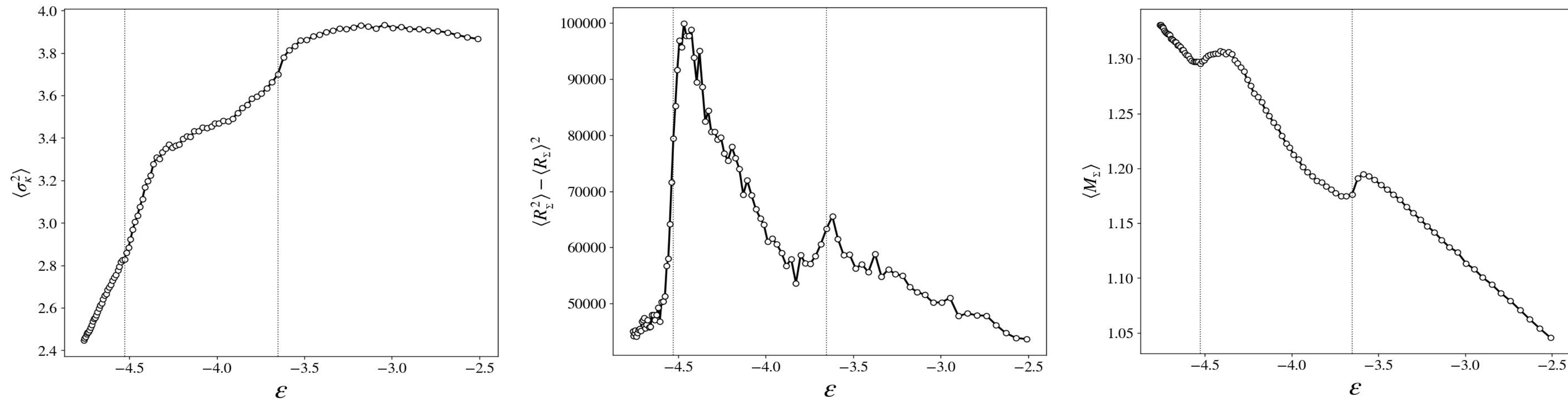
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II. Metastability and Phase Transitions in Classical Systems

2) The Glass Transition: a Topological Point of View



As expected, we found that these quantities exhibit sharp **inflexion points** in correspondence with the **peaks of specific heat**, indicating a **topological change** at the transition.

FUTURE PERSPECTIVES

To apply the **topological theory of phase transitions** to a **quantum phase transition**, possibly with a link to **entanglement** and **quantum correlations**.

To connect a **quantum (or effectively classical) dynamics** in the **quantum state space** with the **metric properties** we exposed here.

Thank you.